

EXHIBIT A

**IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF DELAWARE**

SRI INTERNATIONAL, INC.,)
a California Corporation,)
)
Plaintiff and)
Counterclaim-Defendant,)
)
v.) C. A. No.: 04-1199 (SLR)
)
INTERNET SECURITY SYSTEMS, INC.,)
a Delaware Corporation, INTERNET)
SECURITY SYSTEMS, INC., a Georgia)
Corporation, and SYMANTEC)
CORPORATION, a Delaware Corporation,)
)
Defendants and)
Counterclaim-Plaintiffs.)

EXPERT REPORT OF DANIEL TEAL

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I. INTRODUCTION

1. I, Daniel M. Teal, am the Co-Founder and President of CoreTrace Corporation, a computer security corporation that specializes in providing scalable, secure, Enterprise Computing Management (ECM) systems.

2. I have been retained by counsel for Symantec Corporation as an expert witness in this action. If called to testify as to the truth of the matters stated herein, I could and would do so competently.

II. QUALIFICATIONS

3. I received a Bachelor of Science degree in Electrical Engineering from the Massachusetts Institute of Technology in 1989. I undertook additional computer-related graduate work at the University of Texas at San Antonio from 1992 – 1994.

4. I was the Co-Founder and Chief Scientist of the WheelGroup Corporation (WheelGroup). Beginning in December 1995 – March 1998, WheelGroup designed and implemented the NetRanger Security Management System, one of the first network-based intrusion detection systems. WheelGroup was acquired by Cisco Systems, Inc. in March 1998 for \$124 million in stock. From March 1998 – August 1999 I served as a senior software engineer for Cisco Systems, Inc., where I was responsible for research and development tasks to enhance the network security product line including the NetRanger product.

5. At the WheelGroup, I was both the main system architect and the primary software engineer/developer for the initial versions of the NetRanger product. In particular, I wrote the NSX and communications components of the software. I also wrote the majority of the Director software with the exception of the interface with HP Openview network management software. By version 2.0 of NetRanger, the WheelGroup had added additional software developers, but I still served as the primary architect and developer.

6. Prior to my work at WheelGroup, I served as an Officer in the United States Air Force from October 1989 – November 1994, and worked in a variety of positions where my duties and responsibilities included protecting the networks and computer systems of the U.S. Air Force from potential intruders. In particular, from October 1993 – October 1994, I was the Air Force program manager, senior technical engineer, and system architect for the Distributed Intrusion Detection System (DIDS) – a real-time system for detecting and monitoring intrusion attempts against Air Force computer networks by outside attackers and malicious internal users.

7. A more detailed summary of my professional experience is attached as Exhibit A.

8. I receive compensation in the amount of \$250.00 per hour for the time that I devote to this matter. My compensation is not dependent in any way on the outcome of this matter.

III. METHODOLOGY AND BASES

9. In preparing my opinions and analysis of the art, I have thoroughly reviewed the entire specification and claims of U.S. Patents No. 6,321,338 (the '338 patent); 6,484,203 (the '203 patent); 6,708,212 (the '212 patent); and 6,711,615 (the '615 patent) (collectively, the patents-in-suit). I have also reviewed each of the prosecution histories associated with the patents-in-suit.

10. I understand that the Court has not yet construed certain claim terms of the claims of the patents-in-suit. Since the Court has not yet issued a decision construing the claims of the patents-in-suit, I have been asked, for purposes of this analysis, to assume that the Court adopts the claim construction positions advanced by SRI. I have reviewed a copy of the Joint Claim Construction Statement which includes SRI's positions on certain terms. For certain terms discussed in this report, no construction has been offered by any party. In such cases, I have been asked to use the ordinary meaning of that term.

I understand that claim language is generally construed in accordance with its ordinary and customary meaning to those skilled in the relevant art as of the date of the invention.¹

I also understand that claim terms should be given the meaning that is objectively discerned from the specification and/or the prosecution history by one of ordinary skill in the art as of the date of the invention, even if that meaning differs from the term's ordinary and customary meaning.

11. I have reviewed an extensive body of prior art publications as well as certain embodiments of the NetRanger software. A list of the prior art publications, documentation, and software embodiments I have reviewed and the individuals with whom I have spoken in forming the opinions set forth below is attached as Exhibit B. Exhibit B also lists the Bates ranges for each document discussed in this report, which I understand designates the version of the document produced in this litigation.

IV. NETRANGER DOCUMENTATION PUBLICLY AVAILABLE PRIOR TO NOV. 9, 1997

12. The NetRanger Security Management System, ("NetRanger") was and is a real-time network security management system for detecting, analyzing, responding to, and deterring unauthorized network activity. Versions of NetRanger have been available commercially since 1996.

13. As a co-founder and past Chief Scientist of the WheelGroup which created NetRanger, I have first-hand knowledge of the functionality of successive NetRanger

¹ "As a starting point, we give claim terms their ordinary and accustomed meaning as understood by one of ordinary skill in the art. ... Accordingly, a technical term used in a patent is interpreted as having the meaning a person of ordinary skill in the field of the invention would understand it to mean." *Bell Atlantics Network Servs., Inc. v. Covad Comm. Group, Inc.*, 262 F.3d 1258, 1267-268 (Fed. Cir. 2001). See also *Phillips v. AWH Corp.*, 415 F.3d 1303, 1313 (Fed. Cir. 2005) (en banc).

product embodiments as well as the documentation, presentations, and press releases prepared by WheelGroup and others about NetRanger. In addition, I maintained detailed files of product descriptions and documentation from December 1995, when I first began working on NetRanger, through March 1998, when the product was sold to Cisco Systems. In order to ensure that my recollection of the details of each of the NetRanger versions discussed below was correct, and that my recollection of the dates of the release of each of the documents listed below was correct, I searched my own personal files to find copies of various press releases, presentations, User Guides and Manuals, and other documentation developed by WheelGroup and others. In addition, I spoke to former WheelGroup colleagues Scott Olson, Scott Waddell, Kevin Wiley, and Jerry Lathem to further verify that my recollection of past events was correct. I also reviewed archived versions of the WheelGroup website (www.wheelgroup.com) from the Internet Archive to further confirm my recollection of when certain documents were posted to the WheelGroup website.

A. NetRanger User Guides and other software manuals

1. NetRanger User's Guide Version 1.3.1

14. The NetRanger User's Guide Version 1.3.1 [SYM_P_0074948-SYM_P_0075282] was publicly available prior to August 25, 1997. The document itself is marked "Copyright © 1997 WheelGroup Corporation." Furthermore, version 1.3.1 of NetRanger was released prior to version 2.0, and an official WheelGroup press release from my files indicates that WheelGroup released version 2.0 on August 25, 1997. [SYM_P_0074722-SYM_P_0074723].

15. This User's Guide was provided along with each sale of the NetRanger product version 1.3.1. In addition, if asked by a potential customer the WheelGroup provided copies of their user manuals upon request. NetRanger version 1.3.1 was sold commercially by WheelGroup. WheelGroup customers who purchased the system

include the US Air Force, IBM, AT&T, Storage Tek, NetSolve, Network General, and BTG. Attached at Exhibit C is a WheelGroup customer list identifying the companies that purchased different versions of NetRanger.

2. NetRanger User's Guides (earlier versions)

16. Each of the following versions of the NetRanger User's Guide were also available publicly prior to August 25, 1997, and were provided along with each sale of the NetRanger product of the same version:

- NetRanger User's Guide, WheelGroup Corporation, 1996. [SYM_P_0526566-SYM_P_0526735]
- NetRanger High-Level Overview, Version 1.1, WheelGroup Corporation, 11/1996 [SYM_P_0531123- SYM_P_0531139].
- NetRanger User's Guide Version 1.2.2, WheelGroup Corporation, 1997 [SYM_P_0075283- SYM_P_0075535].
- NetRanger User's Guide Version 1.2, WheelGroup Corporation, 1997 [SYM_P_0071736- SYM_P_0071953].

3. Data Privacy Facility / BorderGuard

17. The NetRanger product was designed to work with the NSG BorderGuard security device, which provided virtual private network (VPN) capabilities for NetRanger communications. The Data Privacy Facility Administrator's Guide, DPF Version 1.2, Network Systems Corporation, 9/1995 [SYM_P_0072419- SYM_P_0072641] explains the cryptographic component of the BorderGuard security device. This document was publicly available as of September 1995, when it was provided along with each NSG BorderGuard security device sold commercially. In addition, as indicated at page iii of the DPF Version 1.2 Guide, both a Reference Manual and an Installation Guide were also available for the BorderGuard router itself as of September 1995. Exhibit B contains a list of additional BorderGuard manuals that were publicly available prior to November

1997. Documentation from the Internet Archive from www.network.com [SYM_P_0600799-0600839] further confirms my recollection that multiple versions of the BorderGuard router were publicly available prior to November 1997.

4. HP OpenView

18. The NetRanger product was also designed to operate in conjunction with HP OpenView. HP OpenView was a very well-known network management software application. Up until late 1997, all NetRanger customers were required to purchase a copy of HP OpenView. (NetRanger eventually supported customers using the IBM Netview application as well, and later developed a NetRanger user interface instead of requiring customers to purchase HP OpenView). Thus, I am generally familiar with the sales and marketing of HP OpenView in the 1996-1998 timeframe. Although NetRanger ran on the Unix version of HP Openview, I believe that the major functionality of HP OpenView was similar between the Unix and Windows versions. I know that the HP OpenView product was sold with user manuals, and I would expect that the HP OpenView for Windows User Guide Version 6.1 for Windows [SYM_P_0080944-SYM_P_0081098] would have been publicly available with HP OpenView products as of the October 1997 date indicated in this manual.

B. NetRanger Slides

19. In addition to selling the NetRanger product itself and associated documentation, the WheelGroup also provided training seminars to train customers and potential customers on how to set up and use NetRanger. In April 1997, the "NetRanger Installation & Configuration Training" slide presentation consisted of the documents at [SYM_P_0077338- SYM_P_0077416]. These slides were publicly shown to customers beginning in April 1997. These training sessions were open to any customer who signed up for one of the multiple sessions offered per year. Customers receiving training include the US Navy (May 1997), AT&T (May 1997), American Express (Sept. 1997), and IBM

(Aug. 1997). Each of these customers was shown the "NetRanger Installation & Configuration Training" slide presentation.

C. SQL Queries

20. The SQL queries given at [SYM_P_0074926- SYM_P_0074947] were publicly available as of May 28, 1997. The functionality of these SQL queries was also described, for example, in the NetRanger User's Guide Version 1.3.1 at p. 5-36 [SYM_P_0075174]. These SQL queries were included in source form with the NetRanger product starting with version 1.2. All NetRanger customers of version 1.2 and later could have viewed these SQL queries as part of the NetRanger product that they purchased.

D. DoD Spock Report

21. In March 1997, a Department of Defense-sponsored consortium of both government and commercial organizations performed a detailed evaluation of the capabilities of the NetRanger product. This group, including the National Security Agency, was known as the Security Proof of Concept Keystone (SPOCK). The results of the SPOCK evaluation were documented in a detailed report entitled "NetRanger Real-Time Network Intrusion Detection Performance and Security Test," DoD/SPOCK, including Appendices A, B and C, 4/30/1997 [SYM_P_0074255- SYM_P_0074481].

22. On July 8, 1997 the WheelGroup issued a press release entitled "Summary of DoD/SPOCK Evaluation of WheelGroup's NetRanger intrusion detection system." [SYM_P_0074647-SYM_P_0074648; SYM_P_0074525-SYM_P_0074526]. As part of this press release, the WheelGroup notified the public that the underlying report documenting the SPOCK evaluation could be obtained by writing to COACT, Inc. 9140 Guilford Road, Suite L, Columbia, MD 21046. Thus despite the SPOCK report being marked "For Official Use Only" the document was made available to members of the public upon request and is therefore not confidential. See also Minutes of SPOCK

meeting, 12/12/96, noting “the resulting test will be made available to all requesters.” [SYM_P_0074461].

E. AFIWC Assessment

23. From October to December of 1996, the Air Force Information Warfare Center (AFIWC) performed an extensive test of two different NetRanger configurations. The resulting report was entitled “Product Security Assessment of the NetRanger Intrusion Detection Management System Version 1.1,” AF Information Warfare Center, February, 1997 [SYM_P_0074527- SYM_P_0074566].

24. It is my understanding that this report was not classified and was available via Freedom of Information Act (FOIA) requests. The WheelGroup had multiple internal copies of this document and it was not treated as confidential. This report was certainly available to government customers of NetRanger as well.

F. WheelGroup Press Releases

25. The WheelGroup made periodic press releases to inform the public about its products, including NetRanger. These press releases were published on the company’s website at www.wheelgroup.com. A summary of when each press release was posted is given in “WheelGroup Press Release Summary” [SYM_P_0074525 SYM_P_0074526].

26. In particular, the press release entitled “WheelGroup Releases NetRanger Version 2.0” was published on the WheelGroup website on August 25, 1997. [SYM_P_0074722-SYM_P_0074723]. In addition, the press release entitled “Summary of DoD/SPOCK Evaluation of WheelGroup’s NetRanger intrusion detection system” was published on the WheelGroup website on July 8, 1997. [SYM_P_0074647-SYM_P_0074648].

V. FUNCTIONALITY OF THE NESTRANGER PRODUCT AS OF NOV. 9, 1997

27. The NetRanger product as of November 1997 was a well-developed commercial product that had already undergone several different version upgrades to enhance its feature set and functionality. NetRanger was a network security management system capable of operating in real time, which meant that potential intrusions and suspicious activity were detected on the fly, as traffic flowed through the network being monitored. NetRanger operated in TCP/IP networks and could function in a distributed fashion across large networks or multiple sites interacting across the Internet.

28. NetRanger was designed to work with other commercially-available products, such as the BorderGuard security device, and both the HP OpenView and IBM NetView network management systems.

29. The BorderGuard product from Network Systems Corporation circa 1997 was a router / packet filter that was capable of filtering a full set of protocols, including but not limited to the IP protocol. BorderGuard also included sophisticated encryption and VPN capabilities, allowing traffic to be passed securely even over the Internet.

30. NetRanger also integrated with and required the functionality of certain network management systems. Network management systems were used to manage and properly display the data collected from NetRanger sensors. NetRanger required a user to purchase either HP OpenView or IBM NetView in order to use the Director functions of NetRanger.

31. The basic NetRanger system was composed of three main "core" systems that interacted to detect, analyze, respond to and deter unauthorized network activity: the NSX, the Communication System, and the Director.

32. The Network Security eXchange (NSX) system included a Packet Filtering Device subsystem and a Sensor subsystem, and provided the capability to capture network traffic and analyze it to detect suspicious activity. The Packet Filtering

Device component plugged into a network as a router or a bridge, and routed network traffic to the Sensor. The Sensor component contained NetRanger's real-time intrusion detection and content assessment logic. The Sensor's intrusion detection engine contained a large set of different rules, or signatures, capable of detecting a wide assortment of attacks such as sendmail attacks, ping sweeps, IP source routing and spoofing, FTP and Telnet abuse, and SATAN attacks. Sensor analyses produced event records of detected attacks and alarms which were automatically sent on to a Director.² The Sensor also accepted intrusion response and reconfiguration information from a Director. An individual NSX could communicate with more than one Director if desired.

33. The NetRanger Communication System included the so-called "post office" subsystem and an Encrypted Sleeve. The post office provided a communication backbone for remote monitoring and transmission of information between the NSX and the Director. The Encrypted Sleeve provided secure data transmission between remote networks and the various NetRanger components by creating a virtual private network (VPN) between each component using the Data Privacy Facility (DPF) that came with Network Systems Corporation's BorderGuard devices. As noted previously, NetRanger was designed to operate in conjunction with the BorderGuard security device. The BorderGuard security device could be installed in various different places on the network being monitored.

34. The Director provided integration and analysis services to NetRanger, and communicated with one or more NSXs via the communication system. The Director included two subsystems, the Security Management Interface (SMI) and the Security Analysis Package (SAP). The SMI subsystem integrated with network management software to provide a graphical user interface (GUI) and tools to assist a user in monitoring and responding to security events occurring on different NSXs reporting to

² The NetRanger documentation uses the terms "event" and "alarm" interchangeably, as do I.

that Director. The SAP subsystem provided additional data management, data analysis capabilities, and the ability to generate reports correlating information from multiple sources. The SAP subsystem could be configured to run on the same platform as the SMI, or run on a separate database server.

35. NetRanger used the BorderGuard security device to copy packets directly off the network and send them to the NSX Sensor for analysis. The BorderGuard device could be configured to send all packets to the Sensor, or a defined subset of the packets. NetRanger included a large variety of signatures to analyze the packets, some of which looked at the structure of the packet headers, and some of which looked at the data being transported in the packet.

36. Different NetRanger signatures indicate that NetRanger monitored at least the following different “categories” of network traffic. These categories of network traffic are called out in ‘203 patent claim 1 and ‘615 patent claim 1:

Claimed category	Monitored
network packet data transfer commands	<ul style="list-style-type: none"> • FTP directories created/deleted (4-79) • FTP files GET/PUT (4-79) • HTTP GET (4-80)
network packet data transfer errors ³	<ul style="list-style-type: none"> • IP fragments (4-61) • ICMP unreachable (4-67) • ICMP parameter problem on datagram (4-69) • Failed FTP attempt (4-82)
network packet data volume	<ul style="list-style-type: none"> • ICMP flood (4-61) • Large ICMP traffic (4-63) • ICMP network sweep (4-63) • TCP port sweep (4-63) • UDP port scan (4-63) • SATAN scan (4-63) • Number of bytes within a TCP connection (4-72)
network connection requests ⁴	<ul style="list-style-type: none"> • Connection request from specific IP address (1-10) • TCP connection requests (SYN packets) (4-63) • Connection requests from various other network

³ Some of these errors, including “ICMP unreachable” would also constitute “an error code indicating a reason a packet was rejected” as claimed in ‘338 claim 10.

Claimed category	Monitored
	<ul style="list-style-type: none"> services (C-4 to C-5) • Failed logins (FTP, telnet and rlogin authentication failures)
network connection denials	<ul style="list-style-type: none"> • FIN packets (4-72) • RST packets (4-72) • Failed logins (FTP, Telnet, rlogin authentication failure (4-62))
error codes included in a network packet	<ul style="list-style-type: none"> • multiple types of failed packets and connections (4-82) including failed ping and finger requests
network packets indicative of well-known network-service protocols	<ul style="list-style-type: none"> • unknown IP protocol (4-61)

37. A fundamental design principle of NetRanger was its modularity. Each of the different services required for the various subsystems were broken apart into their atomic operational components, or daemons. This approach allowed for improved speed, durability, scalability, and independence.

38. NetRanger was also perfectly suited for use in distributed, hierarchical monitoring. As shown in the attached Figure 1 in Exhibit D a single NSX could communicate with more than one Director. In addition, Directors could be configured into a hierarchy of Directors, where more than one Director reported up to a higher-tier Director. As shown in the attached Figure 2 in Exhibit D, the WheelGroup in its training slides explained to customers that NetRanger could operate in a four-tier hierarchy, with the NSX sensors serving at the lowest level collecting and analyzing network traffic. Events from these NSXs would be passed to a set of Directors at Tier 3 providing local network security management. A desired set of events, or all events, depending on user preferences, could also be passed up to a smaller set of Directors at Tier 2, which would consolidate information across multiple local Directors and provide regional management. Finally, regional Directors could pass information to a single overall

⁴ The NetRanger software included a TCP stream reassembly engine used for many of the NetRanger signatures. This engine would monitor network connections using “a correlation of network connections requests and network connection denials” as claimed in ‘338 claim 7.

Director at Tier 1, which would further consolidate information and provide enterprise-level management.

39. In the spring of 1997, the DoD/SPOCK analysis was performed to test the capabilities of the NetRanger system. NetRanger was tested in an operational environment over a seven site network connected via an Internet based virtual wide area network (WAN). The WAN was comprised of Internet connections between the following sites: Army Battle Command Battle Laboratory (BCBL) at Fort Gordon, Georgia; NSA/V2 at Fort Meade, Maryland; Air Force Information Warfare Center in San Antonio, Texas, Center for Integrated Intelligence Systems (Space and Naval Warfare Systems Command) in McLean Virginia, Fleet Information Warfare Center, Norfolk, Virginia, and Land Information Warfare Activity, Fort Belvoir, Virginia. An NSX and a Director was placed at each of these sites. In addition, a Director was placed at COACT Inc. (NSA) in Columbia Maryland, to provide global monitoring at all sites.

40. NetRanger also provided automatic integration and correlation of event/alarm data generated from the analysis of network traffic. The Director automatically integrated multiple alarms in certain situations to reduce and consolidate the amount of data presented at the Director level. For example, a TCP port sweep over a variety of source-destination port pairs would be integrated into a single alarm icon. Not every packet received would be used to generate an Alarm icon on the Director – the alarm had to exceed a defined severity threshold. Furthermore, if two or more alarms were received that were similar in all respects except for their timestamp and alarm identification number, the Director would consolidate these multiple alarms into a single “Alarm set” icon.

41. Detection of a SATAN attack also required NetRanger to correlate different events to determine that indeed a SATAN attack was occurring. The NetRanger Sensor would recognize and track multiple different events occurring at different times, such as a ping sweep alarm and a later port sweep. The NSX would keep track of these

multiple different events as they occurred, and when appropriate would generate a SATAN attack event based upon the correlation of the occurrence of these events.

42. The NetRanger Director SAP subsystem also provided automatic correlation of event data. SAP was shipped with a set of comprehensive SQL queries to analyze data based upon different perspectives. These queries could be customized to automatically run periodically and generate different reports of events. For example, the Space Dimension queries would correlate events based upon where the attacks came from, allowing a user to see, for example, the "top ten addresses generating attacks" on the system being monitored. The Time Dimension queries would correlate events based upon when events occurred. The Events Dimension queries would correlate events by linking related attack types and related alarm severity levels.

43. I currently have a working version of the NetRanger 2.1 product in binary form. The 2.1 version of NetRanger, released in January 1998, was functionally identical to NetRanger Version 2.0 in all relevant aspects. The only differences were the addition of more attack signatures, and some minor bug fixes. At trial, I may rely upon this code or a similar version to demonstrate the relevant features of the NetRanger product.

VI. COMMERCIAL SUCCESS OF NETRANGER

44. Commercial intrusion detection systems first began to appear in the 1990s. It is often difficult to cleanly distinguish between a network intrusion detection system and many other network systems that perform some type of security function, such as network monitoring and management software, or the wide variety of firewalls in existence in the 1990s. Nevertheless, I think it is fair to say that the WheelGroup, founded in 1995, was one of the first companies to develop a commercially viable network intrusion detection system. WheelGroup's primary competitor in the market in the 1996-1997 timeframe was the ISS RealSecure product.

45. Sales of NetRanger were good. WheelGroup customers include the US Air Force, US Navy, IBM, AT&T, Storege Tek, Network General, BTG, Perot Systems, Alcatel, CDW, Boeing, Procter and Gamble, Citibank, CompuServ, Chrysler, Allstate, and many others. A WheelGroup customer list dated January 5, 1998 lists 65 customers using NetRanger. Twenty of those companies were in the Fortune 500.

46. Commercial partners of WheelGroup also used NetRanger in services offerings to their customers. Such companies would use the NetRanger product to monitor their customer's network, typically with a Director back at the service company's headquarters to provide real-time monitoring of each customer's network. For example, the ProWatch Secure service offered 24-hour monitoring of traffic on Internet gateways using NetRanger. IBM network services also purchased NetRanger for use as a monitor/sensor in their service offerings.

47. In addition to commercial sales to customers, the NetRanger product was also purchased and used by the U.S. Government. For example, in approximately July 1996, the 609th Information Warfare Squadron located at Shaw Air Force Base (AFB) spent approximately \$800k to purchase the NetRanger system to protect US Air Force computer networks.

48. Eventually in March 1998, Cisco Systems Inc. purchased the entire WheelGroup Corp., including the NetRanger product line, for \$124 million in stock. Cisco's primary reason for purchasing WheelGroup was its desire to obtain the NetRanger product in order to have a commercially viable network intrusion detection offering, which helped round out Cisco's other networking product offerings. This sale of the company to Cisco demonstrates the desirability and commercial success of the NetRanger product. Cisco changed the name of the product to the "Cisco Secure Intrusion Detection System" for marketing reasons. NetRanger code and technology is still used in the product today and many of WheelGroup's software developers are still employed by Cisco to continually improve the product.

49. I believe that the WheelGroup NetRanger product was successful for two reasons: the product worked as advertised and it was very reliable. NetRanger successfully passed operational tests time and time again by reliably detecting network attacks. It was able to operate continuously over a period of months without crashing. I remember that some of our customers, including IBM ERS, purchased NetRanger after they had already purchased the ISS RealSecure product because our system was so reliable.

VII. LACK OF NOVELTY

50. I understand that a patent is not valid if it can be shown by clear and convincing evidence that the inventions disclosed in the patent are not new and novel. An invention is not novel if the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of the application for patent in the United States.⁵ The effective filing date for all of the patents-in-suit is November 9, 1998.

51. In order to demonstrate a lack of novelty, an invalidating prior art reference must disclose each and every limitation of the claimed invention, either expressly or inherently, in detail sufficient to enable one of ordinary skill in the art to practice the claimed invention without undue experimentation. For the purpose of this analysis, I was asked to assume that one of ordinary skill in the art in 1997 would have been someone with an undergraduate degree in Computer Science with at least three to five years experience in computer programming and network design with an emphasis in network monitoring technology and intrusion detection.

52. As shown in the chart attached as Exhibit E, it is my opinion that the NetRanger User's Guide Version 1.3.1 is a printed publication prior art reference that invalidates claims 1-22 of U.S. Pat. No. 6,484,203 and claims 1-6, 8-23, 34-53, 64-73,

⁵ 35 U.S.C. sec 102(b).

and 84-93 of U.S. Pat. No. 6,711,615. In addition, as shown in the same chart, the NetRanger product itself circa November 9, 1997 also demonstrates that the alleged inventions described in these claims were in public use and on sale more than one year prior to the date of application for these patents.

VIII. OBVIOUSNESS

53. In addition to the requirement that a patent claim be novel, I understand that a patent claim is presumed valid unless it is shown by clear and convincing evidence that the differences between the subject matter claimed and the prior art were such that the subject matter as a whole would have been obvious to a person having ordinary skill in the art to which the subject matter pertains.⁶

54. I understand that in determining the obviousness of the claim(s) of a patent, one should consider:

- a. the scope and content of the prior art relied upon by the party alleging invalidity of the patent;
- b. the differences, if any, between each claim of the patent and the prior art; and
- c. the level of ordinary skill in the pertinent art at the time the invention of the patent was made; and
- d. whether the prior art enabled a person of ordinary skill in the art to make and use the invention claimed.

55. I understand that one must also consider such objective considerations as commercial success, long-felt but unresolved need, failure of others to solve the problem, acquiescence in the patent by others, and whether the same or similar inventions were made independently by others prior to or at about the same time as the invention claimed in the patent.

56. I understand that the test of obviousness is whether the claimed invention, as a whole, would have been obvious to one of ordinary skill in the art as of the date of

⁶ 35 U.S.C. sec 103.

the invention in light of the prior art. To establish obviousness under this test, I understand that one must show by clear and convincing evidence that a person of ordinary skill in the art at the time of invention, confronted by the same problem as the inventor and with no knowledge of the claimed invention, would select the recited elements from the prior art and combine them in the claimed manner. In other words, one must avoid the use of hindsight and instead identify in the art prior to the invention some suggestion or motivation, before the invention itself was made, to make the new combination.

57. I understand that the motivation to combine prior art references need not be expressly stated in the prior art, but that it may be found, for example, in the nature of the problem to be solved, or may come from the knowledge of those skilled in the art.

58. As described previously, the NetRanger NSX component correlated different attack signatures to determine whether or not a SATAN attack was occurring. It would have been obvious to perform this correlation at the Director as well. One of skill in the art would have been motivated to make this minor change in order to facilitate detecting a SATAN attack occurring across multiple NSX sensors.

59. The NetRanger system looked for patterns in network traffic indicative of known attacks. However, it was well-known in the intrusion detection field at the time that one might also monitor a network to try and detect behavior that appeared anomalous, or different, from the normal behavior of the network. Often referred to as “anomaly detection,” these methods were supposed to be able to detect unknown attacks that deviated from normal activity but did not match any known attack pattern.

60. The use of statistical profiling for performing anomaly detection was well-known by November 9, 1997. By that time, SRI had published extensively on the use of statistical profiling in NIDES (Next-generation Intrusion Detection Expert System).⁷ SRI

⁷ See, e.g., A. Valdes and D. Anderson, “Statistical Methods for Computer Usage Anomaly Detection Using NIDES (Next Generation Intrusion Detection Expert

had also published on the EMERALD system.⁸ It had been suggested by many researchers in the field at the time that it was desirable to combine both signature-based detection to look for known attacks with anomaly detection to look for potentially unknown attacks. Thus, it would have been obvious to one of ordinary skill at the time to combine a statistical profiling method for anomaly detection such as those described in *Statistical Methods and Emerald 1997* with the NetRanger system if commercial-grade software implementing such an anomaly detection system had existed in 1997. Therefore, as indicated in the chart in Exhibit E, U.S. Patent 6,711,615 claim 7 and claims 1-24 of U.S. Patent 6,708,212 are invalid as obvious.

61. However, the needs of a commercial system such as NetRanger were very different than a research system. In particular, when performing real-time network intrusion detection, it was of paramount importance that the software analyzing the network traffic run quickly in order to be able to keep up with the incoming stream of network packets. WheelGroup actually investigated adding such statistical anomaly detection functionality to NetRanger, and based upon our investigation I do not believe a commercial-grade system for statistical anomaly detection existed in 1997 that was suitable for inclusion in NetRanger. However, since we actually considered adding such functionality to NetRanger back in the 1996-1997 timeframe, I believe it would have been obvious to combine NetRanger with a statistical anomaly detection system if one's primary purpose was the creation of a research-oriented system, as opposed to a commercial product.

System)", January 27, 1995 ("Statistical Methods") [SYM_P_0068937-SYM_P_0068942].

⁸ See, e.g., "EMERALD: Event Monitoring Enabling Responses to Anomalous Live Disturbances," Oct. 9, 1997 ("Emerald 1997") [SYM_P_0535485 – SYM_P_0535497].

IX. ASIM

62. I helped setup the first monitoring systems for the US Air Force Automated Security Incident Management (ASIM) program. We implemented the first monitoring sites by using the Network Security Monitor (NSM) developed by Todd Heberlein at UC Davis. Initial sites included the Air Force 7th Communications Group located at the Pentagon in Washington D.C. and Wright-Patterson AFB located in Dayton, OH. Both sites were fully operational by the end of 1992. We installed the NSM software on Sun SPARCstations attached to operational Air Force networks. The NSM software at the time included several different types of analysis engines for analyzing network traffic. However, we focused our operations on utilizing NSM's capability to detect specific strings of text within TCP and UDP network sessions. Samples of strings include "login: root" and "GET passwd." Although these examples may seem trivial compared to a modern IDS, they were very effective in detecting unauthorized use of Air Force networks. The success of these early monitoring systems help spur the development of better technologies and operational procedures at the Air Force Information Warfare Center (AFIWC).

Dated: April 19, 2006

Daniel M. Teal

Daniel M. Teal

Exhibit A

Professional Experience:

- 5/00 – Present Co-Founder and President, CoreTrace Corporation, Austin, TX
Doing research and development for a next generation host and network security system.
- 7/99 – Present Private investor, philanthropist, advisor.
- 3/98 – 7/99 Senior Software Engineer, Cisco Systems, Austin, TX
Responsible for research and development tasks to enhance network security product line including the Cisco NetRanger Security Management System.
- 12/95 – 3/98 Co-Founder and Chief Scientist, WheelGroup Corporation, San Antonio, TX
One of the founders of WheelGroup Corporation. Designed and implemented the NetRanger Security Management System. Responsible for leading development of information security software. WheelGroup Corporation was acquired by Cisco Systems, Inc. in March 1998 for \$124 million in stock.
- 11/94 - 12/95 Senior Engineer, Trident Data Systems, San Antonio, TX
Analyzed security vulnerabilities in operating systems and network protocols for developing information security software. Performed security posture assessments of customer networks.
- 10/89 – 11/94 Officer, USAF
Received commission as 2nd Lt upon graduation from MIT via 4yr ROTC program. Honorably discharged in November 1994 with rank of Captain.
- 10/93 - 10/94 Network Security Engineer, Air Force Information Warfare Center, Kelly AFB, TX
Responsible for the research, design, development, and deployment of sensitive countermeasures for Air Force networks and computer systems. Air Force program manager, senior technical engineer, and system architect for the Distributed Intrusion Detection System (DIDS) - a real-time system for detecting and monitoring intrusion attempts against Air Force computer networks by outside attackers and malicious internal users
- 2/90 - 9/93 Systems Security Engineer, Air Force Cryptologic Support Center, Kelly AFB, TX
Analyzed the vulnerabilities of essential Air Force networks to current threats and developed specific countermeasures. Technical security consultant to Air Force organizations. Responded to foreign attacks against Air Force systems. Designed architecture for automated security posture measurement of Air Force systems.
- 6/89 - 10/89 Technical Consultant, John Deere Horicon Works, Horicon, WI
- 2/88 - 5/89 Researcher, MIT Sloan School of Management, Cambridge, MA
- 6/88 - 5/89 Researcher, MIT Flight Transportation Laboratory, Cambridge, MA
- 8/87 - 1/88 Researcher, MIT Laboratory for Information Systems, Cambridge, MA

Education:

- | | | |
|---------------|--|--------------|
| Graduate | University of Texas at San Antonio, San Antonio, TX
Specialized Graduate courses, Sep 1992 to Jan 1994 | GPA: 4.0/4.0 |
| Undergraduate | Massachusetts Institute of Technology, Cambridge, MA
Bachelor of Science in Electrical Engineering, June 1989 | GPA: 4.0/5.0 |

Exhibit B

I. NetRanger documentation

User Manuals

- NetRanger User's Guide, WheelGroup Corporation, 1996. [SYM_P_0526566- SYM_P_0526735]
- NetRanger High-Level Overview, Version 1.1, WheelGroup Corporation, 11/1996 [SYM_P_0531123- SYM_P_0531139].
- NetRanger User's Guide Version 1.2.2, WheelGroup Corporation, 1997 [SYM_P_0075283- SYM_P_0075535].
- NetRanger User's Guide Version 1.2, WheelGroup Corporation, 1997 [SYM_P_0071736- SYM_P_0071953].
- NetRanger User's Guide Version 1.3.1, WheelGroup Corporation, 1997 [SYM_P_0074948- SYM_P_0075282].
- NetRanger User's Guide Version 2.1.1, Cisco Systems, Inc., 1998 [SYM_P_0068166- SYM_P_0068499].
- Release Notes for NetRanger 2.1.1, Cisco Systems, Inc., 1998 [SYM_P_0068500- SYM_P_0068539].

Government test materials

- "NetRanger Real-Time Network Intrusion Detection Performance and Security Test," DoD/SPOCK, including Appendices A, B and C, 4/30/1997 [SYM_P_0074255- SYM_P_0074481].
- Product Security Assessment of the NetRanger Intrusion Detection Management System Version 1.1, AF Information Warfare Center, 2/1997 [SYM_P_0074527- SYM_P_0074566].

NetRanger code

- NetRanger SQL queries, 5/28/1997 [SYM_P_0074926- SYM_P_0074947].
- NetRanger 2.1 binary

NetRanger presentations

- NetRanger training slide presentations, 4/1997 [SYM_P_0077338- SYM_P_0077416].
- Networkers Active Audit – Scanning, and Intrusion Detection, Cisco US Networkers '98, 6/16/1998 [SYM_P_0068540- SYM_P_0068559].

Press / Press Releases

- R. Power and R. Farrow, "Detecting Network Intruders," Network Magazine, pp. 137-38, October 1997 [SYM_P_0078627- SYM_P_0078630].
- PC Week, "NetRanger keeps watch over security leaks," 9/1/97 [SYM_P_0077928- SYM_P_0077931].
- "WheelGroup Releases NetRanger Version 2.0," WheelGroup press release, 8/25/1997 [SYM_P_0074722- SYM_P_0074723].
- "Summary of DoD/SPOCK Evaluation of WheelGroup's NetRanger Intrusion Detection System," WheelGroup press release, 7/8/1997 [SYM_P_0074647- SYM_P_0074648].
- WheelGroup Press Release Summary [SYM_P_0074525 SYM_P_0074526].

Internet Archive pages from WheelGroup website

- WheelGroup.com Internet Archive documentation [SYM_P_0599032-0599091]

II. Other documentation

- Data Privacy Facility Administrator's Guide, DPF Version 1.2, Network Systems Corporation, 9/1995 [SYM_P_0072419- SYM_P_0072641].
- "BorderGuard" by Terry Parsons and Alan Hsu, ZD Internet Magazine, Feb. 1997, http://www.govisionmaster.com/dir_technology/firewalls/15Firewall.htm [SYM_P_0599031].
- BorderGuard Internet Archive documentation <http://web.archive.org/web/19970501195615/http://www.network.com/> [SYM_P_0600799-0600839]
- The Security Router Getting Started Guide Release 2.0, NSC, 1995 [SYM_P_0601013-0601752]
- BorderGuard 1000 Reference Manual Release 4.0, NSC, 1996 [SYM_P_0601940-0602441]

- BorderGuard Troubleshooting Guide Release 4.0, NSC, 8/1996 [SYM_P_0602656-0602761]
- BorderGuard 1000 Release Notes Release 4.01, NSC, 2/1997 [SYM_P_0601753-0601939]
- NetSentry User Guide Release 4.0, NSC, 2/1997 [SYM_P_0602442-0602655]
- HP OpenView for Windows User Guide Version 6.1 for Windows, Oct. 1997 [SYM_P_0080944-1098]
- A. Valdes and D. Anderson, “Statistical Methods for Computer Usage Anomaly Detection Using NIDES (Next Generation Intrusion Detection Expert System)”, January 27, 1995 (“*Statistical Methods*”) [SYM_P_0068937-942].
- “EMERALD: Event Monitoring Enabling Responses to Anomalous Live Disturbances,” Oct. 9, 1997 (“*Emerald 1997*”) [SYM_P_0535485 – 497].
- U.S. Pat. Nos. 6,321,338, 6,484,203, 6,708,212, 6,711,615 and associated file histories [SYM_P_0071535-0071549], [SYM_P_0071550-0071563], [SYM_P_0071564-0071579], [SYM_P_0071580-0071598].
- Joint Claim Construction Statement, filed March 17, 2006

Spoke to the following individuals:

- Scott Olson,
- Scott Waddell,
- Kevin Wiley,
- Jerry Lathem, and
- Todd Heberlein

WheelGroup Corporation Sales By Category and Month		The below numbers will differ from periodic financial revenue due to accounting adjustments for accruals, etc.										
Customer	Invoice Date	P.O. #	Invoice	Dollars	Category	Total	Category	Total	P	S	NSK	DEV
									End User	MGT	Dir	SAP Mat
ChryslerSafe Corporation	1/16/1996	1	9601-0001	10,329	Consulting	Chrysler						
BTG	1/31/1996		9601-0002	2,700	Consulting	BTG						
BTG	2/29/1996		9602-0001	10,318	Consulting	BTG						
BTG	3/31/1996		9603-0001	72,588	Consulting	BTG						
BTG	5/31/1996		9605-0001	39,935	Consulting	BTG						
ChryslerSafe Corporation	6/12/1996	SE570034	9606-0001	9,805	Consulting	BTG						
ChryslerSafe Corporation	6/19/1996	2	9606-0001	5,000	Consulting	Chrysler						
BTG	6/19/1996	3	9606-0002	8,875	Consulting	Prudential						
BTG	6/30/1996		9606-0003	43,129	Consulting	BTG						
IBM ISSC	6/30/1996	0023 IC41	9606-0004	54,459	Consulting	BTG						
BTG	7/31/1996	S0D10549 L1	9607-0001	54,558	Consulting	IBM - ISSC Geoplex						
BTG	7/31/1996		9607-0002	46,598	Consulting	BTG						
STK	8/16/1996	0024 IC41	9607-0003	3,848	Consulting	BTG						
BTG	8/31/1996		9608-0002	41,927	Consulting	NSC SPA						
BTG	8/31/1996	0024 IC41	9608-0003	56,555	Consulting	BTG						
Sprint	9/26/1996		9608-0006	24,890	Consulting	BTG						
BTG	9/26/1996		9609-0003	24,000	Consulting	Sprint						
BTG	9/30/1996		9609-0004	18,850	Consulting	BTG						
STK	10/24/1996	0035 IC41	9609-0005	12,150	Consulting	BTG						
BTG	10/31/1996		9610-0002	17,285	Consulting	Nervest - Keytronic SPA						
BTG	10/31/1996	0035 IC41	9610-0003	48,492	Consulting	BTG						
BTG	10/31/1996		9610-0004	10,189	Consulting	BTG						
NetSolve	10/31/1996		9610-0005	2,224	Consulting	NetSolve						
BTG	11/7/30/1996	TASK 96-11-1	9611-0001	21,474	Consulting	BTG						
BTG	11/7/30/1996	0036 IC41	9611-0003	4,961	Consulting	BTG						
BTG	12/31/1996	TASK 96-11-1	9612-0004	19,063	Consulting	BTG						
BTG	12/31/1996	0036 IC41	9612-0005	13,770	Consulting	BTG						
NetSolve	11/30/1996		9611-0004	448	Man Serv	NetSolve						
NetSolve	12/1/1996		9612-0001	643	1,090	Man Serv	NetSolve					
BTG	8/29/1996	0034 IC41	9608-0001	191,708	Product	AF - IWS	4					
BTG	8/29/1996	0035 IC41	9608-0004	600,036	Product	AF - IWS	28					
NetSolve	9/3/1996	C-2175	9609-0001	28,281	Product	NetSolve	2					
NetSolve	9/12/1996	C-2193	9609-0002	23,874	Product	NetSolve	1					
NetSolve	10/15/1996	C-2193	9610-0001	18,996	Product	Gulf States Toyota	1					
Network General Corporation	11/1/91/1996		9611-0002	16,981	Product	NetSolve						
NetSolve	12/5/1996	7288B	9612-0002	29,689	Product	Network Gen	1					
Total 1996	12/1/1996	L-4374	9612-0003	13,158	Product	DataWorks	1					
				1,554,712	Product		38					
Storage Technology Corp.	1/2/1/1997		9701-0001	40,424	Product	ATE & UGN	1					
STK	1/3/1/1997	51211	9701-0002	12,994	Product	BMC	1					
NetSolve	1/1/1997		9701-0003	700	Man Serv		2					
BTG	1/3/1/1997		9701-0004	24,655	Consulting	BTG						
				78,774	Consulting		9					
Storage Technology Corp.	2/1/2/1997		9702-0002	65,045	Consulting	STK SPA						
Lyonell Petrochemical	2/28/1997		9702-0007	62,836	Consulting	Lyonell						
BTG	2/28/1997		9702-0008	6,117	Consulting	BTG						
NetSolve	2/1/1997		9702-0006	895	Man Serv							

Wheeler Corp Corporation Sales By Category and Month		The below numbers will differ from periodic financial revenue due to accounting adjustments for accruals, etc.										
Customer	Invoice Date	P.O.#	Invoice	Dollars	Category Total		Category	End User	P	S	NSX	DEV
					Product	Product	Network Gen	Network Gen	MCT	MCT	Dir	SAP Mat
Network General Corporation	2/5/1997	73157	9702-0001	25,980					4		0	0
Storage Technology Corp.	2/20/1997		9702-0003	35,829					1		1	0
Netsolve Incorporated	2/28/1997	1-4539	9702-0005	19,456		81,305	Product	AT&T Mesa			0	X
AT&T Wireless	2/25/1997	175475	9702-0004		240,092	240,092	Product	BT Office Supply	1		0	X
BTG	3/24/1997	0026 IC41	9703-0008	21,186								
Tandem Computers	3/31/1997	3505910	9703-0011	11,890								
STK	3/31/1997		9703-0012	7,838								
PCL Constructors Inc.	3/31/1997		9703-0013	8,600								
BTG	3/31/1997		9703-0014	52,869		104,293	Consulting	AT&T Kansas City				
Netsolve	3/1/1997		9703-0003	895		895	Mon Serv					
STK	3/1/1997		51263	9703-0001	84,473		Product	CSE Canadian equiv. of NSA			1	0
STK	3/1/1997		29058	9703-0002	13,744		Product	NSC Internal	1		1	0
STK	3/14/1997		51264	9703-0004	13,744		Product	NSC Internal	1		1	0
Netsolve	3/14/1997		1-4583	9703-0005	19,496		Product	Computer Disc Warehouse	1		0	X
STK	3/14/1997		51265	9703-0006	16,244		Product	NetCom Sol. S. Afr	1		1	0
STK	3/21/1997		51290	9703-0007	25,991		Product	Cliebank	2		1	0
STK	3/26/1997		51304	9703-0009	21,991		Product	NSC Europe	2		1	0
BTG	3/26/1997		971203	9703-0010	33,259		Product	BTG	1		1	1
					334,131	334,131					17	7
Quarter Total					652,996				25	0	10	1
STK	4/30/1997		9704-0019	43,781								
BTG	4/30/1997		9704-0020	24,000		67,781	Consulting	Calif. Bid Equal.-Net-Vest. Fiesta Crows, DARPA, etc.				
Various	4/30/1997		Repaid		13,000	13,000	Maintenance	Various				
Netsolve	4/1/1997		9704-0005	895								
Netsolve	4/30/1997		9704-0017	948								
Netsolve	4/30/1997		9704-0018	671		2,514	Mon Serv	BTO				
STK	4/8/1997		51320	9704-0004	18,798		Product	CDW				
STK	4/22/1997		51342	9704-0007	16,673		Product	NSC Internal	2		0	1
STK	4/22/1997		51338	9704-0008	13,976		Product	NSC Europe	2		0	0
STK	4/22/1997		51321	9704-0009	48,896		Product	NSC U.K.	1		1	1
Netsolve	4/22/1997		1-4656	9704-0010	13,145		Product	NSC Japan	3		1	0
STK	4/29/1997		27553	9704-0011	13,818		Product	Structural Metals, Inc.	1		0	X
STK	4/30/1997		51364	9704-0016	16,244		Product	NSC Internal	1		1	0
STK	4/30/1997		51353	9704-0021	5,507		Product	Motorola	1		1	0
Network General Corp.	4/15/1997		prepaid		50,000	50,000	Royalty	STK	0		1	0
EWA-Canada	4/30/1997	0465-C23-01/97	9704-0012	1,072				NGC			7	2
CANADIAN FORCES	4/30/1997		9704-0013	1,072								
StorageTek Canada, Inc.	4/30/1997		9704-0014	1,608								
Storage Technology Corp.	4/30/1997		9704-0015	536		4,288	Training	STK				
					284,640	284,640	Training	STK				
CyberSafe Corp.	5/9/1997		9705-0004	54,900		54,900	Consult ICR	CyberSafe Corp				
Various	5/31/1997		prepaid		13,000	13,000	Maintenance	Various				

Wheelgroup Corporation Sales By Category and Month		The below numbers will differ from periodic financial revenue due to accounting adjustments for accruals, etc.										
Customer	Invoice Date	P.O. #	Invoice	Dollars	Category	Total	Category	Bad User	P	S	NSX	DEV
									MGT	Dir	SAP	Mnt
NetSolve	5/6/1997		9705-0005	2,238	Man Serv	2,238	Man Serv	Dataworks,CDW,BTO,SMI,G8,Toyota				
STK	5/7/1997	51367	9705-0001	18,341	Product		Pilot Network Service		1	1		
STK	5/7/1997	51375	9705-0002	72	Product	72	STK Belgium		0	0	0	
STK	5/7/1997	51376	9705-0003	72	Product	72	STK Sweden		0	0	0	
NetCom Solutions	5/9/1997	97-131	9705-0006	22,995	Product		NetCom Solutions		2	1	0	
STK	5/13/1997	51386	9705-0007	6,507	Product	6,507	STK Canada		0	1	0	
BTG	5/22/1997	51980094	9705-0008	128,556	Product	128,556	U.S. Navy		5	3	3	
NetSolve	5/20/1997	14901	9705-0013	19,635	Product	19,635	Texas Industries		1	0	0	
STK	5/20/1997	51348	9705-0014	18,767	Product	18,767	STK Colorado1		1	1		
BTG	5/22/1997		SPN-002352	9705-0008	5,180	Training	U.S. Navy		10	7	5	
AT&T	5/28/1997		SPN-002263	9705-0009	2,085	Training	AT&T					
AT&T	5/28/1997		SPN-002264	9705-0010	2,085	Training	AT&T					
StorageTek	5/28/1997		9705-0011	1,390	Training	1,390	StorageTek					
			9705-0012	1,943	Training	1,943						
				298,665	Training	298,665						
Deloitte & Touche	6/1/1997		9705-0012	35,400	Consulting	35,400	Deloitte & Touche					
VII	6/1/1997		9705-0014	35,400	Consulting	35,400	LVR					
Schreiber	6/1/1997		9705-0015	6,400	Consulting	6,400	Schreiber					
Harris Publishing	6/1/1997		9705-0013	6,400	Consulting	6,400	Harris Publishing					
Origin Systems	6/1/1997		9705-0016	6,400	Consulting	6,400	Origin Systems					
Fortron	6/23/1997		9705-0020	5,000	Consulting	5,000	Euron					
Pictet & CIE	6/25/1997		9705-0022	6,400	Consulting	6,400	Pictet & CIE					
BTG	6/25/1997		9705-0023	4,200	Install	4,200	Ft. Huachuca					
NetSolve	6/25/1997		9705-0031	1,000	Install	1,000	SMI					
NetSolve	6/5/1997	M-4942	9705-0001	2,700	Maintenance	2,700	TXI					X
NetSolve	6/5/1997		9705-0002	2,238	Monitoring	2,238	TXI					
STK	6/11/1997	\$1414	9706-0005	15,942	Product	15,942	STK-Canada, EWA		1	1	1	
STK	6/11/1997	51423	9706-0006	18,841	Product	18,841	Product					
STK	6/11/1997	51422	9706-0007	48,735	Product	48,735	Aerospace		1	1	1	
STK	6/11/1997	51431	9706-0008	18,841	Product	18,841	Product		BMC	5	0	0
STK	6/11/1997	51421	9706-0009	32,435	Product	32,435	Product		AT&T	1	1	1
STK	6/11/1997	51433	9706-0018	13,744	Product	13,744	Product		STK-Training	4	1	1
STK	6/27/1997	51438	9706-0024	28,588	Product	28,588	Product		STK-U.K.	1	1	1
NetSolve	6/27/1997	I-5044	9706-0025	19,496	Product	19,496	Lockheed		Corinthian Schools	2	0	0
BTG	6/27/1997	SE980183	9706-0026	46,498	Product	46,498	Product		Fr. Huachuca	1	0	0
BTG	6/27/1997	SE980204	9706-0027	46,498	Product	46,498	Product		BTG	2	1	0
STK	6/28/1997	51442	9706-0028	18,841	Product	18,841	Product		STK, France	1	1	1
STK	6/30/1997	51446	9706-0029	16,244	Product	16,244	Product		Boeing	1	1	1
BTG	6/30/1997	SE980205	9706-0032	19,496	Product	19,496	Product		BTG	1	0	0
BTG	6/30/1997	SE980206	9706-0031	38,644	Product	38,644	Product		Fr. Huachuca	1	1	0
BTG	6/30/1997	SE980204	9706-0034	46,498	Product	46,498	Product		BTG	2	1	0
IBM	6/30/1997	9706-0035	51,980	Product	51,980	Product		IBM-Eval units	1	1	1	
IBM-ERS	7/3/1997	200241763	9706-0036	2,400	Product	2,400	Product		IBM-ERS			
maxBIT GmbH	6/31/1997		9706-0004	1,255	Training	1,255	Training		maxBIT GmbH	27	13	2
STK	6/9/1997		9706-0003	3,238	Training	3,238	Training		STK			

Wheelgroup Corporation Sales By Category and Month		The below numbers will differ from periodic financial revenue due to accounting adjustments for accruals, etc.										
Customer	Invoice Date	P.O. #	Invoice	Dollars	Category Total	Category	End User	F	S	M	NSX	DEV
BTG	6/27/1997	9706-0026		16,576		Training	Fr. Hatchback				1	0
IBM-Global Services	6/30/1997	2000228910	9706-0030	2,590		Training	IBM-Global Services				0	0
IBM-ERS	6/17/1997	2000241763	9706-0019	4,800	28,499	Training/Instal	IBM ERS				0	0
Second Quarter to Date				624,727	623,727							
BTG	7/14/1997	9707-0004		1,800	1,800	Consulting	Bridgeston (Fields, Money, Navy, etc)				48	27
STK	7/15/1997		9707-0009	17,886	17,886	Maintenance	Various					
Netsolve	7/1/1997		9707-0001	2,913	2,913	Monitoring						
STK	7/16/1997	51482	9707-0011	16,244		Product	CSX Technology	1			1	0
STK	7/16/1997	51475	9707-0012	9,747		Product	All State Insurance	1			0	0
Ernst & Young	7/25/1997	MLL6172	9707-14-2	67,980		Product	Ernst & Young	2			1	X
STK	7/30/1997	51479	9707-0017	15,594		Product	Internal, MD	1			1	
STK	7/30/1997	51488	9707-0018	36,355		Product	Integrated Data Networks	3			1	
STK	7/30/1997	51495	9707-0019	18,841		Product	Internal, U.K.	1			1	
IBM-ERS	7/30/1997	51478	9707-0020	19,494		Product	Internal, Canada	1			1	
STK	7/30/1997	2000253028	9707-0021	83,568		Product	IBM-ERS	1			1	
STK	7/30/1997	29425	9707-0022	9,747		Product	NetCom Sol., S.A., EASCA	1			1	
STK	7/30/1997	29426	9707-0023	9,747		Product	NetCom Sol., S.A., BRAZ	0			0	
Amex	7/31/1997	51505	9707-0025	9,747		Product	NetCom, S.A.	1			0	
Amex	7/31/1997	PHX080280	9707-0024	17,068	322,439	Product	Amex	0			1	X
Comex	7/14/1997		9707-0003	34,000		Consulting	Centex				10	8
Openconnect Systems	7/14/1997	19678	9707-0006	28,400		Consulting	Openconnected Systems				3	X
Fedex	7/14/1997		9707-0008	23,200		Consulting	Fedex				0	0
Enviro	7/14/1997		9707-0010	2,900	88,500	Consulting	Euron				0	0
E-Systems	7/31/1997		9707-0002	1,295		Training	E-Systems				16	
STK	7/18/1997		9707-0013	1,295		Training	STK					
AT&T	7/25/1997	77811	9707-0014	1,295		Training	AT&T					
STK	7/25/1997		9707-0015	1,295		Training	STK					
Amex	7/31/1997	7811	9707-0024	6,590		Training	Amex					
STK	7/31/1997		9707-0026	648		Training	STK					
UMA/S	7/31/1997		9707-0027	1,295		Training	UMA/S					
Rucam	7/31/1997		9707-0028	3,885	17,598	Training	Rucam					
Guddy	8/14/1997		9708-0011	451,135	451,135	Consulting	Iridium					
STK	8/14/1997		9708-0012	2,900	2,900	Installation						
Netsolve	8/1/1997		9708-0001	3,244	3,244	Monitoring	Various					
STK	8/15/1997	51501	9708-0014	16,244		Product	Korea Computer Tech	1			1	0
BTG	8/15/1997	SEB0265	9708-0015	24,746		Product	Army JEDMICS	1			0	0
STK	8/20/1997	980459	9708-0016	19,496		Product	Internal, San Antonio	1			0	0
BTG	8/20/1997	51518	9708-0017	16,244		Product	STK, Canada	1			1	0
Amex	8/3/1997	1980003	9708-0018	32,369		Product	AT&T/C	1			1	X
Amex	8/3/1997	PHX080209	9708-0024	57,189		Product	Amex	2			0	0
NGC	8/15/1997	PHX080214	9708-0025	27,515	191,843	Product	Amex	1			1	0
NGC	8/15/1997		9708-0003	50,000	50,000	Royalty		8			3	0

Wheelsgroup Corporation Sales By Category and Month		The below numbers will differ from periodic financial revenue due to accounting adjustments for accruals, etc.									
Customer	Invoice Date	P.O. #	Invoice	Dollars	Category Total	Category		End User	NSX	DEV	
								P	S	MCT	Dir SAP Mat
Northern Telecom	8/1/1997		9708-0004	11,500		Consulting		Northern Telecom			
Niagara Mohawk	8/14/1997	CC8333GAWA	9708-0005	39,050		Consulting		Niagara Mohawk			
AIM Advisors	8/14/1997		9708-0006	10,950		Consulting		AIM Advisors			
Euron	8/14/1997		9708-0013	18,750		Consulting		Euron			
STK	8/29/1997		9708-0023	24,000	104,250	Consulting		National Australia Group			
AT&T	8/21/1997	H3382269	9708-0019	6,475		Training		AT&T			
IBM	8/21/1997	2000379323	9708-0020	1,295		Training		IBM			
STK	8/21/1997		9708-0021	648		Training		STK			
IBM	8/21/1997	2000379313	9708-0022	2,590		Training		IBM			
BTG	8/31/1997	SE980295	9708-0026	3,108	14,116	Training		BTG			
				371,728	371,728						
BTG	9/10/1997	1980008	9709-0005	600	600	Install		BTG			
NeSolve	9/15/1997	M-5461	9709-0007	2,700		Maintenance		NeSolve			
STK	9/20/1997		9709-0014	2,775		Maintenance		STK			
BTG	9/20/1997	980871	9709-0035	17,626	23,101	Maintenance		BTG			
NeSolve	9/1/1997		9709-0001	2,913		Monitoring		NeSolve			
STK	9/11/1997	51511	9709-0003	12,997		Product		AT&T		1 PP	
STK	9/11/1997	51572	9709-0006	9,156		Product		Allstate Insurance		1	
STK	9/23/1997	51581	9709-0012	34,433		Product		Chrysler		1 PP	
STK	9/23/1997	51559	9709-0018	28,588		Product		Gordon & Gable		1 CH, BG	
STK	9/23/1997	51582	9709-0019	25,339		Product		Allstate Insurance		2	
STK-Toris	9/23/1997	JD1-1624-03	9709-0020	70,176		Product		AT&T		1 PP	
BTG	9/23/1997	SE980372	9709-0021	27,511		Product		STK-TCG-Federal Region		1 PP	
STK-Toris	9/23/1997	JD1-1623-03	9709-0022	22,091		Product		AT&T		1 PP	
PEROT	9/23/1997	DMZ-002597	9709-0023	31,352		Product		PEROT		1 CH	
BTG	9/23/1997	1880006	9709-0024	136,472		Product		Gordon IWS		7	
GRAY PEAK	9/25/1997	1573	9709-0026	23,780		Product		GRAY PEAK		2 CL	
STK	9/25/1997	51571	9709-0027	18,841		Product		STK-Mississippi		1	
STK	9/20/1997	51605	9709-0032	9,747		Product		Nesvale - Mirage resorts		1 BG	
STK	9/30/1997	51604	9709-0033	16,244		Product		STK-France		1 BG	
BTG	9/30/1997	SE980371	9709-0034	18,219		Product		DMC Denver		1	
STK	9/30/1997	51570	9709-0036	19,494		Product		STK-Germany		1 PP	
STK	9/30/1997	51551	9709-0037	12,997		Product		Netcom_Liberty_Life-S_Africa		1 PP	
IBM	9/30/1997	51568	9709-0039	48,085		Product		STK_U.K.		3 PP	
M.C.	9/30/1997	2000431615	9709-0040	63,160		Product		IMC		4 CL, BG	
JOHNS HOPKINS	9/30/1997	980870	9709-0044	88,477		Product		JOHNS HOPKINS		4 BG	
JOHNS HOPKINS	9/30/1997		9709-0045	5,000	722,159	Product				1	
NETWORK GENERAL	9/25/1997		9709-0025	50,000	50,000	Royalty		NETWORK GENERAL		23	8
FIRST UNION	9/1/1997		9709-0004	59,600		Consulting		FIRST UNION		14	11
MET	9/1/1997		9709-0008	28,400		Consulting		Met MOA			
E&Y Houston	9/1/1997		9709-0009	47,000		Consulting		Gulf States Toyota			
PICTET	9/1/1997		9709-0010	900		Consulting		PICTET			
JOHNS HOPKINS	9/22/1997		9709-0015	60,000		Consulting		JOHNS HOPKINS			
SHELL PIPELINE	9/22/1997		9709-0016	16,800		Consulting		SHELL PIPELINE			
FEDEX	9/22/1997		9709-0017	42,800		Consulting		FEDEX			
ENRON	9/30/1997		9709-0041	49,000	304,500	Consulting		ENRON			

WheelGroup Corporation Sales By Category and Month		The below numbers will differ from periodic financial revenue due to accounting adjustments for accruals, etc.												
Customer	Invoice Date	P.O. #	Invoice	Dollars	Category	Total	Category	End User	P	S	MGT	Dir	SAP	Mat
IBM - ERS	9/5/1997	2000000149	9709-0002	20,000	Support	20,000	IBM - ERS							
IBM	9/25/1997	2000270922	9709-0028	6,715	Training	6,715	IBM							
AMEX	9/25/1997	PHX130388	9709-0029	2,590	Training	2,590	AMEX							
MCNC	9/30/1997	31377	9709-0042	648	Training	648	MCNC							
BTG	9/30/1997	SE980377	9709-0043	2,072	Training	2,072	BTG							
Total Sept 1997				1,135,058		1,135,058								
Total Q3 1997				1,957,921		1,957,921			47	8	27	19		
NetSolve	10/1/1997		9710-0003	3,133	Monitoring	3,133								
STK	10/2/1997	51626	9710-0002	62	Product	62	STK							
Ernst & Young	10/1/1997	1	9710-0010	25,402	Product	25,402	E&Y							
Network General	10/13/1997	82437	9710-0011	19,788	Product	19,788	Network General							
STK	10/15/1997	51629	9710-0013	9,747	Product	9,747	STK, U.K.							
MCNC	10/22/1997	31615	9710-0015	42,259	Product	42,259	Honey Telephone							
Interactive Futures	10/22/1997	9221	9710-0016	32,556	Product	32,556	N2K							
BTG	10/22/1997	SE980409	9710-0017	49,830	Product	49,830	John Chiefs of Staff							
BTG	10/22/1997	SE980408	9710-0018	113,940	Product	113,940	Nav							
STK	10/28/1997	51677	9710-0019	22,091	Product	22,091	America On-Line							
STK	10/28/1997	51661	9710-0020	6,497	Product	6,497	Boeing							
STK	10/28/1997	51665	9710-0021	32,308	Product	32,308	Computerw							
STK	10/23/1997	51672	9710-0024	31,086	Product	31,086	Hewlett Packard							
STK	10/23/1997	51673	9710-0025	13,744	Product	13,744	STK, U.S.E							
NetSolve	10/31/1997		9710-0032	2,720	Product	2,720	Comindian							
Enron	10/6/1997		9710-0004	16,000	Consulting	16,000								
Diamond Shamrock	10/15/1997		9710-0006	22,400	Consulting	22,400								
Wainable & Carlisle	10/15/1997		9710-0007	9,600	Consulting	9,600								
Interes Bank	10/21/1997		9710-0014	43,000	Consulting	43,000								
Network General	10/1/1997	86414	9710-0012	2,590	Training	2,590								
STK	10/9/1997	1,295	9710-0026	1,295	Training	1,295								
BM/C	10/23/1997	9708411	9710-0027	1,295	Training	1,295								
Ernst & Young	10/23/1997	DO98222-1	9710-0028	1,295	Training	1,295								
STK	10/23/1997	1D1-NR-TBN1	9710-0029	2,590	Training	2,590								
Arrow Electronics	10/30/1997	9710-0030	9710-0030	1,295	Training	1,295								
Total Oct 1997				\$08,323		\$08,323			19	4	0	11	8	
NetSolve	11/7/1997	9711-0001	6,616	Monitoring	6,616									
BTG	11/1/2/1997	9711-0012	23,580	Product	23,580									
BTG	11/7/10/1997	9711-0019	27,180	Product	27,180									
IBM - ERS	11/3/10/1997	9711-0017	66,154	Product	66,154									
IBM - ERS	11/7/30/1997	9711-0018	107,315	Product	107,315									
STK	11/4/1/1997	9711-0003	18,841	Product	18,841									
STK	11/4/1/1997	9711-0004	22,091	Product	22,091									
STK	11/1/7/1/1997	9711-0013	18,841	Product	18,841									
STK	11/1/8/1/1997	9711-0010	39,026	Product	39,026									
Network General Corporation	11/7/4/1/1997	9711-0014	50,000	Royalty	50,000									
Federal Home Loan Bank Dallas	11/1/0/1/1997	9711-0002	44,821	Consulting	44,821									
Harris Publishing Co.	11/2/6/1/1997	9711-0016	7,290	Consulting	7,290									
U.S. West Communications	11/2/4/1/1997	9711-0015	45,000	Consulting	45,000									
Ernst & Young, LLP	11/2/0/1/1997	9711-0008	1,295	Training	1,295									

Wheeldon Corporation Sales By Category and Month		The below numbers will differ from periodic financial revenue due to accounting adjustments for accruals, etc.										
Customer	Invoice Date	P.O. #	Invoice	Dollars	Category	Total	Category	End User	P	S	MGT	DEV
Fleet IWC	11/24/1997	9711-00006		1,295	Training	Fleet IWC			24	11	0	13
Gray Peak Technologies, Inc.	11/20/1997	9711-00009		648	Training	Gray Peak			1	1	5	5
Perot Systems	11/6/1997	9711-00005		648	Training	Perot Systems			1	1	1	1
STK	11/20/1997	9711-00007		648	Training	STK			144	19	0	77
Total Nov 1997				4,533	Training				182	19	0	39
Alcatel Network Svcs	12/12/1997	9712-00002		35,984	Product	Alcatel Network Svcs			15	5	0	5
BIG	12/31/1997	SE800533	Note 1	17,080	Product	US Navy			1	1	X	X
Comex Central S.A.	12/25/1997	9712-00010		7,687	Product	NSA (Hold by STK)			1	1		
ComputerSv Network Svcs	12/11/1997	9712-00005		10,328	Product	Centex Central S.A.						
Euron Corp	12/15/1997	9712-00003		3,885	Consulting	Campfire Network Svcs						
Henry Telephone Cooperative	12/4/1997	9712-00004		51,658	Training	Euron Corp						
Hucomm	12/30/1997	9712-00005		8,771	Product	Henry Telephone Cooperative						
Network General Corporation	12/15/1997	9712-00007		90,000	Product	Network General Corporation						
Niagara Mohawk Power	12/18/1997	9712-00008		50,000	Royalty	Niagara Mohawk Power						
Niagara Mohawk Power	12/22/1997	SI87620/A/B		36,809	Consulting	Niagara Mohawk Power			2	1		
Niagara Mohawk Power	12/31/1997	SI87620/A/B		51,720	Product	Niagara Mohawk Power						
OmniPoint Communications	12/31/1997	9711-15425	Note 1	8,045	Training	Niagara Mohawk Power						
OmniPoint Communications	12/31/1997	9711-15426	Note 1	15,590	Product	OmniPoint						
Shell Oil Company	12/21/1997	9711-15427	Note 1	21,985	Product	OmniPoint			1	1		
SmithKline Beecham	12/31/1997	9712-00001		5,629	Product	Shell Oil Company			1	1		
SmithKline Beecham	12/31/1997	1064962	Note 1	33,600	Consulting	SmithKline Beecham			1	1		
STK	12/4/1997	1061411	Note 1	13,570	Product	SmithKline Beecham						
STK	12/15/1997	9712-00011		23,031	Product	SmithKline Beecham						
STK	12/31/1997	9712-00006		61,220	Product	First Data Resources						
STK	12/31/1997	51822	Note 1	22,055	Maint	STK						
STK	12/31/1997	51818	Note 1	27,133	Product	Chrysler Corp			1	1		
STK	12/31/1997	51825	Note 1	31,978	Product	Charles Schwab			2	1		
STK	12/31/1997	51784	Note 1	7,694	Product	US West Communication			1	1		
STK	12/31/1997	51788	Note 1	27,884	Product	Allstate Insurance			1	1		
STK	12/31/1997	51795	Note 1	16,841	Product	Fifth Union Natl Bank			1	1		
STK	12/31/1997	51787	Note 1	16,291	Product	STK - Netherlands			1	1		
STK	12/31/1997	51714	Note 1	10,794	Product	STK - Canada			1	1		
STK	12/31/1997	51682-1	Note 1	10,444	Product	IBM - Canada			1	1		
STK	12/31/1997	51715	Note 1	32,491	Product	IBM - Canada			2	1		
STK	12/31/1997	51815	Note 1	10,444	Product	STK - Canada			1	1		
STK	12/31/1997	51830	Note 1	13,191	Product	Hiway Technologies			1	1		
Texas Instruments	12/31/1997	F301678432	Note 1	10,794	Product	US West Communication			1	1		
				82,104	Product	Texas Instruments			10	1	1	
Total Dec 1997												1,678,521
Total Q4 1997												2,668,040
Total 1997												6,489,989
Total from Inception												287
Grand Total of NatRanger & Director												

Wheelgroup Corporation
Sales By Category and Month
As of 1/5/98

Customer	Category	End User	Fortune 500
CyberSafe Corp.	ICR	CyberSafe Corp.	
AIM Advisors	Consulting	AIM Advisors	
STK	Consulting	AT&T	X
BTG	Consulting	Briefings (Fields, Mony, Navy, etc)	
BTG	Consulting	BTG	
STK	Consulting	Calif. Brd Equal.-Net 'Vest.	
Cemex	Consulting	Cemex	
Cemex Central S.A.	Consulting	Cemex Central S.A.	
CyberSafe Corporation	Consulting	CyberSafe Corp.	
Deloitte & Touche	Consulting	Deloitte & Touche	
Diamond Shamrock	Consulting	Diamond Shamrock	X
Enron Corp	Consulting	Enron Corp	X
FEDEX	Consulting	FEDEX	X
Federal Home Loan Bank Dallas	Consulting	FHLB	X
BTG	Consulting	Fiesta Crow,DARPA, etc.	
FIRST UNION	Consulting	FIRST UNION	X
E&Y Houston	Consulting	Gulf States Toyota	
Harris Publishing Co.	Consulting	Harris Publishing Co.	
IBM ISSC	Consulting	IBM	X
Intrust Bank	Consulting	Intrust Bank	
Guidry	Consulting	Iridium	
JOHNS HOPKINS	Consulting	JOHNS HOPKINS	
LVH	Consulting	LVH	
Lyondell Petrochemical	Consulting	Lyondell	X
MET	Consulting	Met MOA	
STK	Consulting	National Australia Group	
NetSolve	Consulting	NetSolve	
STK	Consulting	Net'Vest - Keytronic SPA	
Niagara Mohawk Power	Consulting	Niagara Mohawk Power	X
Northern Telecom	Consulting	Northern Telecom	
STK	Consulting	NSC SPA	
Openconnect Systems	Consulting	Openconnect Systems	
Origin Systems	Consulting	Origin Systems	
PCL Constructors Inc.	Consulting	PCL	
PICTET	Consulting	PICTET	
Pictet & CIE	Consulting	Pictet & CIE	
CyberSafe Corporation	Consulting	Prudential	
Schrieber	Consulting	Schrieber	
Shell Oil Company	Consulting	Shell Oil Company	
SHELL PIPELINE	Consulting	SHELL PIPELINE	
Sprint	Consulting	Sprint	X
Storage Technology Corp.	Consulting	STK SPA	
Tandem Computers	Consulting	Tandem	
U.S. West Communications	Consulting	U.S. West Communications	X
Womble & Carlisle	Consulting	Womble & Carlisle	

Count

45

11

NetSolve	Mon Serv	BTO
NetSolve	Mon Serv	CDW
NetSolve	Mon Serv	Dataworks,CDW,BTO,SMI,GS Toyota, TXI

BTG	Product	609th IWS	
STK	Product	Aerospace	
BTG	Product	AF - IWS	
BTG	Product	AFIWC	
Alcatel Network Svcs	Product	Alcatel Network Svcs	
STK	Product	All State Insurance	X
STK	Product	America-On-Line	
Amex	Product	Amex	X
BTG	Product	Army JEDMICS	
STK	Product	Arrow Electronics	X
STK	Product	AT&T	X
STK	Product	Bank of America	
STK	Product	BellSouth Telecomm	X
STK	Product	BMC	
STK	Product	Boeing	X
Netsolve Incorporated	Product	BT Office Supply	
BTG	Product	BTG	
STK	Product	Charles Schwab	
STK	Product	Chrysler	X
STK	Product	Citibank	X
STK	Product	Compuserv	
NetSolve	Product	Computer Disc Warehouse	
Netsolve	Product	Corinthian Schools	
STK	Product	CSE (Canadian equiv. of NSA)	
STK	Product	CSX Technology	X
NetSolve	Product	DataWorks	
BTG	Product	DMC Denver	
Ernst & Young	Product	Ernst & Young	
STK	Product	First Data Resources	X
STK	Product	First Union Nat'l Bank	X
BTG	Product	Ft. Huachuca	
GRAY PEAK	Product	GRAY PEAK	
NetSolve	Product	Gulf States Toyota	
STK	Product	Hewlett Packard	X
STK	Product	Hiway Technologies	
MCNC	Product	Hory Telephone	
Hucom	Product	Hucom	
STK	Product	IBM	X
IBM-ERS	Product	IBM-ERS	
STK	Product	Integrated Data Networks	
JOHNS HOPKINS	Product	JOHNS HOPKINS	

BTG	Product	Joint Chiefs of Staff	
STK	Product	Korea Computer Tech.	
STK	Product	Lockheed	X
MLC	Product	MLC	
STK	Product	Motorola	X
Interactive Futures	Product	N2K	
BTG	Product	Navy	
STK	Product	NetCom Solutions	
NetSolve	Product	NetSolve	
Network General	Product	Network General	
Niagara Mohawk Power	Product	Niagara Mohawk Power	X
BTG	Product	NSA (sold by STK)	
Omnipoint Communications	Product	Omnipoint	
PEROT	Product	PEROT	
STK	Product	Pilot Network Service	
STK	Product	Proctor & Gamble	X
SmithKline Beecham	Product	SmithKline Beecham	
STK	Product	STK	
NetSolve	Product	Structual Metals, Inc.	
NetSolve	Product	Texas Industries	
Texas Instruments	Product	Texas Instruments	X
STK	Product	Unisys	X
BTG	Product	U.S. Navy	
STK	Product	US West Communication	X
			65 20
Grand Total End Users			111 31



1945 Old Gallows Road
Vienna, VA 22182

INCORPORATED (703) 556-6518

Equal Opportunity Employer

PURCHASE ORDER

P.O. #: D970035
G/L #: J035-014-51 04

PAGE 1 OF 2

ORDER TO: WHEEL GROUP
13750 SAN PEDRO
SUITE 670
SAN ANTONIO TX 78232

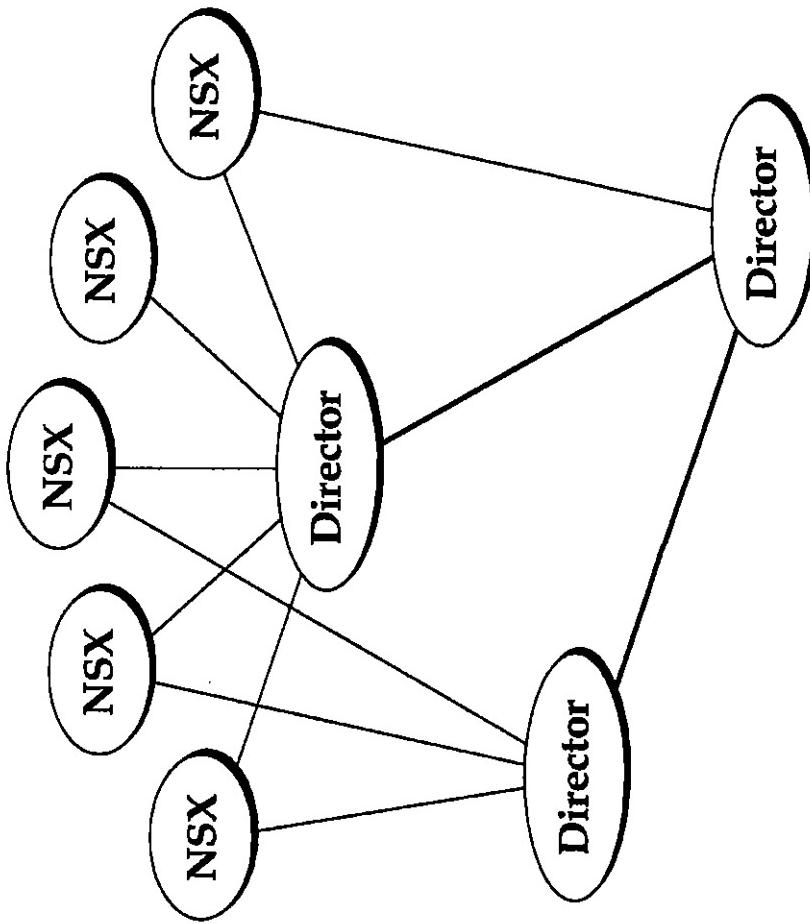
SHIP TO: 1420 KILLIAN AVENUE
SHAW AFB
SC 29152-5029

CONTACT: RICK JORDAN
PHONE: 210-494-3383
FAX: 210-494-6303

DELIVER TO: CAPT. DOUG HARLOW
CONTRACT #: F19625-96-D-0001
PRIORITY: DCA7
F.O.B: DESTINATION

P.O. NO.	P.O. DATE	BUYER	VENDOR NO.	TERMS	SHIP VIA	
I970035	07/19/96	CVERCKO, L	WHEEL		BEST WAY	
ITEM NUMBER	DESCRIPTION		REQ. DATE	QTY.	UNIT COST	EXT. COST
001.	NETRANGER NSX/2000 (MISC PORTS) PROD. #4871		08/02/96	32.00 /EA	18645.00	596640.00
002.	NETRANGER NSX/2000 ANNUAL SUPPORT & MAINT PROD. #4873		08/02/96	32.00 /EA	3078.0000	98496.00
003.	NETRANGER DIR/SINGLE TIER SOFTWARE V.1.0 PROD. #4875		08/02/96	8.00 /EA	9747.0000	77976.00
004.	NETRANGER DIR ANNUAL SUPT & MAINT PROD. #TBD		08/02/96	8.00 /EA	2279.0000	18232.00
	SUBTOTAL					791,344.00
	SHIPPING					8000.00
NOTES: OVERSHPMTS NOT ACCEPTED				TOTAL	799,344.00	

Figure 1: NetRanger Communication Architecture



- **Flexible**
- **Fault Tolerant**
- **Secure**
- **Scalable**

Figure 2: NetRanger Hierarchy

Distributed Network Security Management

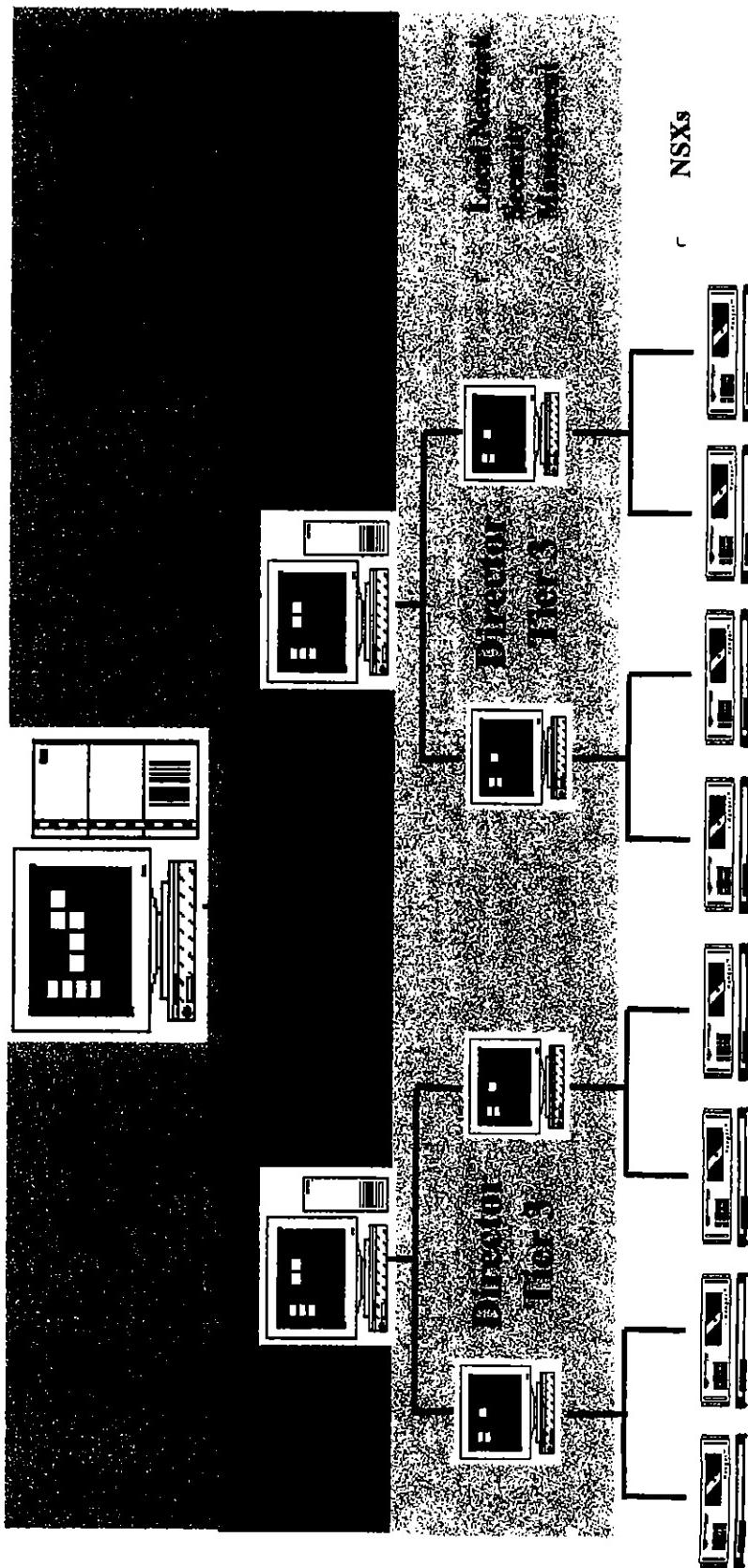


EXHIBIT-D-2

**WheelGroup Corporation
“NetRanger”**

**Both the NetRanger public use or sale and NetRanger User’s Guide Version 1.3.1
invalidate the indicated claims under 35 U.S.C. § 102(b) and 103**

All text citations for “NetRanger User’s Guide Version 1.3.1” are taken from: NetRanger User’s Guide Version 1.3.1, WheelGroup Corporation, 1997 [SYM_P_0074948-
SYM_P_0075282].

The “NetRanger public use or sale” is based upon the NetRanger software versions 2.0 and earlier. The capabilities of this software are demonstrated in:

Manuals

- NetRanger User’s Guide, WheelGroup Corporation, 1996. [SYM_P_0526566-SYM_P_0526735].
- NetRanger High-Level Overview, Version 1.1, WheelGroup Corporation, 11/1996 [SYM_P_0071183-SYM_P_0071199].
- NetRanger User’s Guide Version 1.2.2, WheelGroup Corporation, 1997 [SYM_P_0075283-SYM_P_0075535].
- NetRanger User’s Guide Version 1.2, WheelGroup Corporation, 1997 [SYM_P_0071736-SYM_P_0071953].
- NetRanger User’s Guide Version 1.3.1, WheelGroup Corporation, 1997 [SYM_P_0074948-SYM_P_0075232].

Government test materials

- “NetRanger Real-Time Network Intrusion Detection Performance and Security Test,” DoD/SPOCK, including Appendices A, B and C, 4/30/1997 [SYM_P_0074255-
SYM_P_0074481].
- Product Security Assessment of the NetRanger Intrusion Detection Management System Version 1.1, AF Information Warfare Center, 2/1997 [SYM_P_0074527-
SYM_P_0074561].

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NetRanger code

- NetRanger SQL queries, 5/28/1997 [SYM_P_0074926- SYM_P_0074947].

NetRanger presentations

- NetRanger training slide presentations, 4/1997 [SYM_P_0077338- SYM_P_0077416].

Press / Press Releases

- R. Power and R. Farrow, “Detecting Network Intruders,” Network Magazine, pp. 137-38, October 1997 [SYM_P_0078627- SYM_P_0078630].
- PC Week, “NetRanger keeps watch over security leaks,” 9/1/97 [SYM_P_0077928- SYM_P_0077931].
- “WheelGroup Releases NetRanger Version 2.0,” WheelGroup press release, 8/25/1997 [SYM_P_0074722- SYM_P_0074723].
- “Summary of DOD/SPOCK Evaluation of WheelGroup’s NetRanger Intrusion Detection System,” WheelGroup press release, 7/8/1997 [SYM_P_0074647- SYM_P_0074648].
- WheelGroup Press Release Summary [SYM_P_0074525 SYM_P_0074526].

Other documentation

- Data Privacy Facility Administrator’s Guide, DPF Version 1.2, Network Systems Corporation, 9/1/95 [SYM_P_0072419- SYM_P_0072641].
- The Security Router Getting Started Guide Release 2.0, NSC, 1995 [SYM_P_0601013-SYM_P_0601752]
- BorderGuard 1000 Reference Manual Release 4.0, NSC, 1996 [SYM_P_0601940-SYM_P_0602441]
- BorderGuard Troubleshooting Guide Release 4.0, NSC, 8/1996 [SYM_P_0602656-SYM_P_0602761]

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- BorderGuard 1000 Release Notes Release 4.01, NSC, 2/1997 [SYM_P_0601753-SYM_P_0601939]
- NetSentry User Guide Release 4.0, NSC, 2/1997 [SYM_P_0602442-SYM_P_0602655]
- “BorderGuard” by Terry Parsons and Alan Hsu, ZD Internet Magazine, Feb. 1997, http://www.zdvisionmaster.com/dir_technology/firewalls/15Firewall.htm [SYM_P_0599031].
- BorderGuard Internet Archive documentation [SYM_P_0600799-SYM_P_0600839]

WheelGroup Corporation
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Claim number	Claim Term	NetRanger User’s Guide Version 1.3.1 (printed publication)	NetRanger (public use or sale) ¹
1	A computer-automated method of hierarchical event monitoring and analysis within an enterprise network comprising:	<p>“NetRanger is a real-time network security management system that detects, analyzes, responds to, and deters unauthorized network activity. The NetRanger architecture supports large-scale information protection via centralized monitoring and management of remote dynamic packet filtering devices that plug into TCP/IP networks.” (1-1) [SYM_P_0074974]</p> <p>“NetRanger enforces an organization’s security policy via real-time response and detection of intrusive events without having to erect static barriers. NetRanger’s secure communication architecture also allows command and control, as well as system information, to be distributed securely across heterogeneous networks.” (1-4) [SYM_P_0074977]</p>	<p>NetRanger was a computer system supporting distributed network security management that comprised:</p> <p>[TS “NetRanger Overview” “Distributed Network Security Management” slide] [DoD p. 2, 4] [SYM_P_0077357, SYM_P_0077367, SYM_P_0074255, SYM_P_0074265]</p>

¹ The following abbreviations are used to indicate page references:

TS: NetRanger Training slide presentations, 4/1997 [SYM_P_0077416].

DoD: “NetRanger Real-Time Network Intrusion Detection Performance and Security Test,” DoD/SPOCK, 4/30/1997 [SYM_P_0074255- SYM_P_0074481].

SQL: NetRanger SQL queries, 5/28/1997 [SYM_P_0074926- SYM_P_0074947].

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Claim number	Claim Term	NetRanger User’s Guide Version 1.3.1 (printed publication) ¹	NetRanger (public use or sale) ¹
203	enterprise network;	<p>System that resides on a corporate network. It communicates with one or more remote Director systems via the Post Office network communications system. The NSX currently operates with IP networks and supports many hardware and software configuration options.</p> <p>The Packet Filtering Device is a router or bridge that plugs into a corporation’s network at a point of entry to other networks. The security policy installed on this device determines what subset of network traffic will be routed to the NetRanger Sensor.</p> <p>The Sensor subsystem contains NetRanger’s real-time intrusion detection and content assessment logic. The intrusion detection engine recognizes and responds to attacks, such as sendmail, ping sweeps, IP source routing and spoofing, FTP and Telnet abuse, and SATAN scans. Sensor analyses produce data streams of IP packets and event records that are either dumped into local session log files or sent on to the Post Office for remote delivery to a Director system. The Sensor also accepts intrusion response and reconfiguration information from the Director systems.</p> <p>...</p> <p>The Director provides monitoring and analysis services to NetRanger, and communicates with one or more NSX systems via the communication system.” (1-2 – 1-3) [SYM_P_0074975- SYM_P_0074976]</p> <p>See Figure 1.6: Director Hierarchy Based on Message Propagation (1-13) [SYM_P_0074906]</p>	<p>[TS ‘NetRanger Overview’ “Distributed Network Security Management” slide, “Communication Architecture” slide] [DoD p. 4] [SYM_P_0077357, SYM_P_0077367, SYM_P_0077364, SYM_P_0074265]</p> <p>NetRanger NSXs detected a “pattern of misuse” where “misuse is defined as suspicious as well as unauthorized activity”</p>

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Claim number	Claim Term	NetRanger User’s Guide Version 1.3.1 (printed publication) ¹	NetRanger (public use or sale) ¹
203	suspicious network activity	<p>responds to attacks, such as sendmail, ping sweeps, IP source routing and spoofing, FTP and Telnet abuse, and SATAN scans. Sensor analyses produce data streams of IP packers and event records that are either dumped into local session log files or sent on to the Post Office for remote delivery to a Director system.” (1-2) [SYM_P_0074975]</p> <p>“Although NetRanger is frequently described as an Intrusion Detection system, it also looks for a variety of suspicious activities that precede unauthorized events. An NSX will detect and report a ping sweep of a network. Although not truly intrusive, a ping sweep is frequently a precursor to unauthorized activity. The NSX system therefore looks for network patterns of misuse based on a variety of different attack signatures.” (1-5) [SYM_P_0074978]</p> <p>“Attack Signatures</p> <p>As previously mentioned, an attack signature is a pattern of misuse based on one or more events. Such a pattern can be as simple as an attempt to access a specific port on a specific host, or as complex as sequences of operations distributed across multiple hosts over an arbitrary period of time. Events can be grouped into different attack signatures. An event that is based on a single ICMP packet at a specific point in time is an atomic signature (e.g., a ping of a specific host). Composite signatures, on the other hand, are based on series of events. A ping sweep is an example of a signature that spans a network; a port sweep is an example of a signature that focuses on a specific host. A SATAN attack is an example of a composite signature derived from a host port sweep pattern.” (1-8) [SYM_P_0074981]</p>	<p>[DoD Appendix A p. 2] [SYM_P_0074298]</p>

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'203 Claim number	Claim Term	<p style="text-align: center;">NetRanger User's Guide Version 1.3.1 (printed publication)</p> <p style="text-align: center;">NetRanger (public use or sale)¹</p>
		<p>based on analysis of network traffic data selected from the following categories: {network packet data transfer commands, network packet data transfer errors, network connection requests, network connection denials, error codes included in a network packet}.</p> <p>“The Packet Filtering Device is a router or bridge that plugs into a corporation’s network at a point of entry to other networks. The security policy installed on this device determines what subset of network traffic will be routed to the NetRanger Sensor.</p> <p>The Sensor subsystem contains NetRanger’s real-time intrusion detection and content assessment logic. The intrusion detection engine recognizes and responds to attacks, such as sendmail, ping sweeps, IP source routing, and spoofing, FTP and Telnet abuse, and SATAN scans. Sensor analyses produce data streams of IP packets and event records that are either dumped into local session log files or sent on to the Post Office for remote delivery to a Director system.” (1-2) [SYM_P_0074975]</p> <p>“Network Sensing</p> <p>Patterns of misuse are identified by two basic types of network signatures: context and content. Context-based signatures deal with the state of a transmission as defined by the structure of packet headers, and content-based signatures focus on what is being transported – the binary data.” (1-6) [SYM_P_0074979]</p> <p>“Attack Signatures</p> <p>As previously mentioned, an attack signature is a pattern of misuse based on one or more events. Such a pattern can be as simple as an attempt to access a specific port on a specific host, or as complex as sequences of operations distributed across multiple hosts over an arbitrary period of time. Events can be grouped into different attack signatures. An event that is based on a</p> <p>NetRanger detected misuse based on the content of network packets including at least:</p> <ul style="list-style-type: none"> • network packet data transfer commands • network packet data transfer errors • network packet data volume • network connection requests • network connection denials • error codes included in a network packet <p>[TS: “NetRanger Overview” “NetRanger NSX Alarm Levels” slide; “Operational Overview” slide] [SYM_P_0077357, SYM_P_0077369, SYM_P_007365]</p> <p>[DoD: p. 14 (“Net Ranger detects misuse based on the content of network packets.”); Appendix A; Appendix B] [SYM_P_0074275, SYM_P_0074289, SYM_P_0074321, SYM_P_0074322- SYM_P_0074481]</p>

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Claim number	Claim Term	NetRanger User’s Guide Version 1.3.1 (printed publication)	NeRanger (public use or sale) ¹
203		<p>Single ICMP packet at a specific point in time is an atomic signature (e.g., a ping of a specific host). Composite signatures, on the other hand, are based on series of events. A ping sweep is an example of a signature that spans a network; a port sweep is an example of a signature that focuses on a specific host. A SATAN attack is an example of a composite signature derived from a host port sweep pattern. Many of these patterns are also sequence-independent, which means that the attack signature must be identified regardless of the order or the duration between atomic events. Other signatures, such as SYN attacks, are based on well-defined event sequences.” (1-8) [SYM_P_0074961]</p> <p>“An alarm symbol is created whenever an event that exceeds a user-defined threshold is received.” (4-10) [SYM_P_0075066]</p> <p>“The following string detects users attempting to FTP the password file. ... The following strings are used to detect the username and passwords of users in ftp sessions. These also indicate when directories are created/deleted and when files are GET/PUT.” (4-79) [SYM_P_0075135]</p> <p>“The following example shows how to log every HTTP GET on a network.” (4-80) [SYM_P_0075136]</p> <p>“TCP Fragmentation attack – Detects an attack that exploits a vulnerability in TCP/IP stacks with IP fragments.” (4-61) [SYM_P_0075117]</p> <p>“UDP Bomb – This attack may cause a denial-of-service by crashing the</p>	

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'203 Claim number	Claim Term	<p>NetRanger User's Guide Version 1.3.1 (printed publication)</p> <p>NetRanger (Public use or sale)¹</p>
		<p>target system the UDP bomb is going to. The SubSigID is the difference in bytes between the IP data length and the UDP datagram length.” (4-62) [SYM_P_0075118]</p> <p>“ICMP Unreachable... This message is transmitted to a host stating that the intended destination is unreachable for the IP datagram it transmitted. The first 64 bits of the failed datagram is transmitted along with the unreachable message.” (4-67) [SYM_P_0075123]</p> <p>“ICMP Parameter Problem on Datagram... This message is transmitted when a datagram header is incorrect. The first 64 bits of the incorrect header is also sent.” (4-69) [SYM_P_0075125]</p> <p>“incom1_tfp_failFailed FTP attempt” (4-82) [SYM_P_0075138]</p> <p>“ICMP Flood – Will fire off if the threshold of X ICMP pkts/sec from a single host is exceeded.” (4-61) [SYM_P_0075117]</p> <p>“Context-based signatures are based on information passed in the TCP/IP header. ...</p> <ul style="list-style-type: none"> • Large ICMP traffic. Numerous computers are vulnerable to an attack where if you send an ICMP packet with an extremely large data size it will crash the machine. NetRanger blocks and alarms this traffic.” (4-63) [SYM_P_0075119] <p>“Large ICMP Traffic... This signature identifies an attacking host that has</p>

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Claim Number	Claim Term	NetRanger User’s Guide Version 1.3.1 (printed publication) transmitted a large ICMP packet.” (4-71) [SYM_P_0075127]
203		<p>“ICMP Network Sweeps. This attack uses the ICMP protocol to discover which machines are alive on a remote network. This is most often used as the first step of an attack to find potential targets. All three are detected with NetRanger.” (4-63) [SYM_P_0075119]</p> <p>“TCP Port Sweep. When targeting a specific machine, a hacker will frequently run a TCP port sweep to get a list of all available services on the remote target.” (4-63) [SYM_P_0075119]</p> <p>“UDP Port Scan. When targeting a specific machine, a hacker will frequently run a UDP port sweep to get a list of all available services on the remote target.” (4-63) [SYM_P_0075119]</p> <p>“SATAN Scan. This looks for both the normal and heavy SATAN attacks.” (4-63) [SYM_P_0075119]</p> <p>“IP Session logs are either continually active or are only written to when a certain event(s) occurs, such as a connection request from a specific IP address...” (1-10) [SYM_P_0074983]</p> <ul style="list-style-type: none"> • “Half-Open SYN Attack. This attack was recently publicized when it was used to shut down several Internet Service Providers. This attack can crash a machine by overloading it with TCP connection requests that it never closes.

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'203		<ul style="list-style-type: none"> • TCP Hijacking. This attack looks for a characteristic of attacks which take over an existing TCP connection.” (4-63) [SYM_P_0075119] <p>“TCP Connection Logging...Level 2: Only TCP SYN packets are logged (default). This indicates that the source host has initiated an attempt to establish a TCP connection to the destination host using the TCP ports specified....Level 3: All TCP, SYN, FIN, and RST packets are logged. The sequence numbers stored in the miscellaneous field provide enough information to determine the number of bytes transferred within a TCP connection.” (4-71 – 4-72) [SYM_P_0075127- SYM_P_0075128]</p> <p>“The next four signatures indicate that a user has multiple failed authentication attempts. ... FTP authentication failure...Telnet authentication failure...rlogin authentication failure...” (4-62) [SYM_P_0075118]</p> <p>See also 4-82, C-2, C-3 [SYM_P_0075138, SYM_P_0075213, SYM_P_0075214]</p> <p>generating, by the monitors, reports of said suspicious activity; and</p> <p>“Sensor analyses produce data streams of IP packets and event records that are either dumped into local session log files or sent on to the Post Office for remote delivery to a Director system.” (1-2) [SYM_P_0074975]</p> <p>“Alarms are generated by the sensor daemon, and are typically routed to a remote Director system. These notifications can also be routed to multiple Director systems...”</p>

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Claim number	Claim Term	NetRanger User’s Guide Version 1.3.1 (printed publication)	NetRanger (public use or sale) ¹
203		<p>The NSX system currently supports two types of logging:</p> <ul style="list-style-type: none"> • Event logs • IP Session logs <p>Alarms represent just one type of event that can be logged by the NSX system. Event logs (examples of which are shown in Figure 1.4) can also contain entries for every command and error that is generated by a user or NetRanger service.” (1-9) [SYM_P_0074962]</p> <p>“Note that both Event and IP Session information can be logged locally on an NSX system as well as remotely on Director systems; exactly what information is sent where depends on how each NSX system is configured.” (1-11) [SYM_P_0074964]</p> <p>“When a process on a remote NSX machine detects a security violation, a notification (called an “event”) is sent from the NSX machine to the Director machine.” (4-1) [SYM_P_0075057]</p>	<p>slide] [SYM_P_0077357, SYM_P_0077369]</p> <p>The NetRanger Director received and integrated alarms from the plurality of NSXs.</p> <p>[DoD: p. 4, 7, 10-12 (p. 12 “a Director can consolidate duplicate alarms events into a single icon.”)] [SYM_P_0074265, SYM_P_0074268, SYM_P_0074271- SYM_P_0074273]</p> <p>[TS: “NetRanger Overview” “NetRanger Director” slide] [SYM_P_0077357, SYM_P_0077370]</p>
		<p>automatically receiving and integrating the reports of suspicious activity, by one or more hierarchical monitors.</p>	<p>“Improved Alarm Performance</p> <p>The Director has always avoided generating duplicate alarms by scanning the OVwDB before generating an alarm icon. Prior versions of the Director incurred unnecessary processing overhead by unconditionally scanning the OVwDB. The Director now makes sure that the severity level of the alarm equals or exceeds the Open View display threshold before it scans the OVwDB.</p> <p>Improved Alarm Consolidation</p> <p>Prior to the 1.3 release, the Director generated a separate alarm icon for</p>

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Claim number	Claim Term	NetRanger User's Guide Version 1.31 (printed publication) ¹	NetRanger (public use or sale) ¹
203		<p>every source port accessed by a DNS Request and for every src-dst port pair accessed by a TCP Port Sweep. The Director now generates a single alarm icon for each of these types of events. This dramatically reduces icon and OVwDB clutter as well as improving overall performance under load.” (vi)</p> <p>“The Director</p> <p>The Director provides monitoring and analysis services to NetRanger, and communicates with one or more NSX systems via the communication system. The Director contains two basic subsystems, the Security Management Interface (SMI) and the Security Analysis Package (SAP). The SMI is a collection of GUIs and tools that help monitor and respond to security events at one or more NSX locations. The SMI integrates with network management applications (such as HP OpenView®). Whereas the SMI is focused primarily on real-time security event management, data analysis is supported by the SAP.</p> <p>The SAP is a set of data analysis tools that analyzes NSX data independently of SMI activities. The SAP consists of three basic components: data collection, data management, and data analysis.</p> <p>Although all components can be easily integrated into an existing SMI platform, WheelGroup recommends that all data be exported onto a separate database server. In this way, the SMI and SAP components can be configured, secured, and tuned independently.” (1-3) [SYM_P_0074976]</p> <p>“As noted earlier, the types of information generated by the NSX daemon falls into four basic categories: Events, Commands, Errors, and IP Packets. The /user/nr/etc/destinations file allows you to specify what types of</p>	

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203		<p>information should be routed to which daemons on which hosts. Table 1.4 shows two different distribution entries. The first entry routes all of the Standard Event data to the loggerd service on a Director machine named crusher, and the second entry specifies that only events should be displayed by smid on the riker Director machine....” (1-12) [SYM_P_0074965]</p> <p>“Director System</p> <p>As noted earlier, the NetRanger Director consists of two major subsystems:</p> <ul style="list-style-type: none"> • Security Management Interface (SMI) • Security Analysis Package (SAP) <p>These two subsystems provide centralized command and control of an organization’s security perimeter, which could conceivable encompass hundreds of NSX systems. From a capabilities perspective, these two subsystems provide monitoring, management, data collection, data analysis, and user-defined actions services.” (1-14) [SYM_P_0074967]</p> <p>“The Director ensures that the machine and application that generated the event are represented on the graphical map, and then, if the event’s severity level exceeds a user-definable threshold, the Director creates an Alarm icon on the map. The color of the Alarm icon is based on the severity of the event.” (4-1) [SYM_P_0075057]</p> <p>“Note that oww and owwdb are part of OpenView/NetView, ndirmap, smid, and loggerd are part of the Director, and sensor is part of the NSX. ... ndirmap looks at the severity level of the event. If the event severity exceeds a user-specified level, then ndirmap tells oww to draw an alarm</p>

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‘203 Claim number	Claim Term	NetRanger User’s Guide Version 1.3.1 (printed publication) ¹	NetRanger (public use or sale) ¹
		<p>icon.” (4-2) [SYM_P_0075058]</p> <p>“The Alarm Consolidation Threshold describes how many identical alarms must be received before the alarms are replaced by a single “Alarm Set” icon. By default, if two or more alarms are received that are alike in all respects except for timestamp and sequence number, ndrimap will represent these alarms with a single “Alarm set” icon.” (4-17). [SYM_P_0075073]</p>	<p>NetRanger included SQL database queries to correlate alarms.</p> <p>[DoD: Appendix A pp. 18-19; Appendix B] [SYM_P_0074279. SYM_P_0074315, SYM_P_0074322- SYM_P_0074481] [SQL] [SYM_P_0074926- SYM_P_0074947]</p> <p>“The SAP is a set of data analysis tools that analyzes NSX data independently of SMI activities. The SAP consists of three basic components: data collection, data management, and data analysis. Although all components can be easily integrated into an existing SMI platform, WheelGroup recommends that all data be exported onto a separate database server. In this way, the SMI and SAP components can be configured, secured, and tuned independently.” (1-3) [SYM_P_0074976]</p> <p>“A SATAN attack is an example of a composite signature derived from a host port sweep pattern.” (1-8) [SYM_P_0074981]</p> <p>See Figure 1.12: NSX Data Collection (1-19) [SYM_P_0074992]</p> <p>“NSX Data Analysis</p> <p>The SAP capability also analyzes data. Rather than locking the user into a single tool on the Director machine, this task is better served by 3rd-party tools on a separate Windows platform, such as the IQ Objects® report writer from IQ Software and multi-dimensional analysis tools such as PowerPlay®</p>

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Claim number	Claim Term	<p>NetRanger User’s Guide Version 1.3.1 (Printed publication)</p> <p>NetRanger (public use or sale)¹</p>
‘203		<p>from Cognos. Trouble ticketing systems such as Remedy’s Action Request System® (ARS) can also be implemented on top of NetRanger’s alarm data. As a foundation for custom reports, SAP includes a subsystem called SAPR. This is a set of SQL queries which can be easily customized or integrated into 3rd-party tools.</p> <p>These types of 3rd-party tools can be configured to support ad hoc queries as well as predefined reports. For example, these tools can easily generate reports showing:</p> <ul style="list-style-type: none"> • All alarms of levels 4 and 5 in the last 30 days, • A graph of Web server activity over the last 24 hours, and • A table of all events in the last 30 days in order of increasing alarm levels.” (1-19 – 1-20) [SYM_P_0074992-SYM_P_0074993] <p>“Analysis</p> <p>Once data is loaded into a database, it can be analyzed for patterns and trends. Status reports relating to network activity and vulnerabilities can also be generated. Although any number of third-party tools can generate these types of output, SAP is shipped with a small but comprehensive collection of Oracle SQL*Plus queries that show how event data can be analyzed relative to different perspectives, such as time versus events. These queries are described in SAP Tutorial.” (5-3) [SYM_P_0075141]</p> <p>“The actions that can be launched by sapd include...</p> <ul style="list-style-type: none"> • Batch, which is a version of ARCHIVE that operates in the background and allows sapd to continue evaluating other conditions without

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Claim number	Claim term	NetRanger User’s Guide Version 1.3.1 (Printed publication) ¹	NeRanger (public use or sale) ¹
'203		<p>blocking. This action is intended primarily for launching database reports, which can execute in parallel with other actions.” (5-10) [SYM_P_0075148]</p> <p>“Analysis</p> <p>Sapr</p> <p>As noted in the High Level Overview section, SAP is shipped with a small collection of SQL queries that generate simple columnar reports. These reports are referred to as the SAP Reports, or spr, and demonstrate how NetRanger intrusion detection data can be viewed from three basic perspectives: Space, Time, and Events.... Imposing these types of hierarchical structures on NetRanger’s event data makes it easier to move from high to low levels of detail as well as shift from one perspective to another.” (5-12) [SYM_P_0075150]</p> <p>“Database Reports Setup and Customization... To change the scheduling of reports, customize the SAP triggers.... The actual SQL DML files [...] can be changed to alter the selection, sorting, summarization, and display of data.” (5-22 – 5-24). [SYM_P_0075160- SYM_P_0075162]</p> <p>“Table 5.4 lists the included queries, which serve as the starting points for query building.” (5-36) [SYM_P_0074981]</p>	<p>“Attack Responses</p> <p>The NSX system does one or more of the following things once an attack has been positively identified:</p> <ul style="list-style-type: none"> • Generate an alarm. <p>NetRanger automatically shutdown a network connection.</p> <p>[DoD: Appendix A spreadsheets page 2 (“Notify off-duty personnel of events”) “Run attack and observe email and/or pager</p>
3	The method of claim 1, wherein integrating further comprises invoking		

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Claim number	Claim Term	NetRanger User’s Guide Version 1.3.1 (printed publication)	NetRanger (public use or sale) ¹
‘203	countermeasures to a suspected attack.	<ul style="list-style-type: none"> • Shun the attack. • Log the alarm event.” (1-8) [SYM_P_0074981] <p>“Another way of shunning patterns of misuse is to manually reconfigure the BorderGuard or Passport device through the Director system. Shunning is part of a site’s security policy that must be carefully reviewed before it is deployed, whether as a set of guidelines or as a set of automatic rules or upon which operational staff rely.” (1-9) [SYM_P_0074982]</p> <p>“User-Defined Actions</p> <p>In addition to displaying and logging alarm events, the Director can generate user-defined actions via eventid. A typical action might be to generate pager notifications via e-mail messages or feed data onto 3rd-party devices, such as a printer. Support for multiple actions scripts is also provided. While eventid makes no distinction between alarm types and levels, the default action script shipped with this service shows how actions can be triggered based on these criteria.” (1-20) [SYM_P_0074993]</p> <p>“To view an ASCII list of the latest events that have been generated for a given application or machine... This will execute a program that parses the log files... looking for all events for the entity selected. Please note that this will include events that may be below the threshold for creating alarms. Also note that this window is dynamically updated as new events come in.” (4-24) [SYM_P_0075080]</p> <p>“By default, eventid is shipped with an e-mail notification service. (Please note that many pager systems can be enabled via e-mail notification.)</p>	<p>activation”; Appendix A p. 5-7,18] [SYM_P_0074293, SYM_P_0074301- SYM_P_0074303, SYM_P_0074314]</p> <p>[TS: “NetRanger Overview” “NetRanger NSX” slide] [SYM_P_0077357, SYM_P_0077368]</p>

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203 Claim number	Claim Term	NetRanger User’s Guide Version 1.3.1 (printed publication) ¹	NetRanger (public use or sale) ¹
4	The method of claim 1, wherein the plurality of network monitors include an API for encapsulation of monitor functions and integration of third-party tools.	<p>eventd receives copies of alarms from smid, arranges them into a readable format, and then sends e-mail messages to users based on information stored in configuration files.” (4-56) [SYM_P_0075112]</p> <p>“The SMI is a collection of GUIs and tools that help monitor and respond to security events at one or more NSX locations. The SMI integrates with network management applications (such as HP OpenView®).” (1-3) [SYM_P_0074976]</p> <p>“There is also an application called nrdirmap that serves as the interface between smid and HP OpenView.” (1-14) [SYM_P_0074987]</p> <p>“The nrdirmap application uses the OVW API (OpenView Windows Application Programming Interface) to tell the OpenView user interface what security information to present to the user.” (1-15) [SYM_P_0074988]</p> <p>“NSX Data Analysis</p> <p>The SAP capability also analyzes data. Rather than locking the user into a single tool on the Director machine, this task is better served by 3rd-party tools on a separate Windows platform, such as the IQ Objects® report writer from IQ Software and multi-dimensional analysis tools such as PowerPlay® from Cognos. Trouble ticketing systems such as Remedy’s Action Request System® (ARS) can also be implemented on top of NetRanger’s alarm data. As a foundation for custom reports, SAP includes a subsystem called SAPR. This is a set of SQL queries which can be easily customized or integrated into 3rd-party tools.</p>	<p>NetRanger integrated with a standard interface.</p> <p>[DoD: Appendix A p. 14, “the Director has been integrated with HP’s OpenView network management system. This provides the Director with an industry standard command and control interface.”] [SYM_P_0074310]</p> <p>[TS: “NetRanger Overview” “Director Platform Specifications (cont.)” [SYM_P_0077357, SYM_P_0077362-SYM_P_0077363]</p>

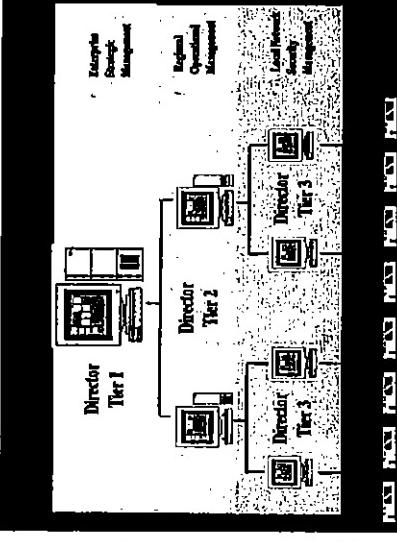
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203 Claim number	Claim Term	NetRanger User’s Guide Version 1.3.1 (printed publication) ¹	NetRanger (public use or sale) ¹
		<p>These types of 3rd-party tools can be configured to support ad hoc queries as well as predefined reports. (1-20) [SYM_P_0074993]</p> <p>“NetSentry-based signatures are generated by reporting packet failures and/or successes from NetSentry filters. When the NSG BorderGuard is used to enforce a specific security policy, an option exists to copy a subset of failed packets from the BorderGuard to the NSX. Each copied packet includes the name of the NetSentry filter that failed the packet. ... Using this NetRanger capability, and filter on the BorderGuard is capable of generating event signatures. Because the BorderGuard allows a large number of highly configurable filters using the NetSentry filter language, the number of potential NetSentry event signatures generated by NetRanger could be considered unlimited.” (4-81) [SYM_P_0075137]</p>	
5	The method of claim 1, wherein the enterprise network is a TCP/IP network.	<p>“The NetRanger architecture supports large-scale information protection via centralized monitoring and management of remote dynamic packet filtering devices that plug into TCP/IP networks.” (1-1) [SYM_P_0074974]</p> <p>“The NSX currently operates with IP networks and supports many hardware and software configuration options.” (1-2) [SYM_P_0074975]</p> <p>“Network Protocols The sensor subsystem currently works with TCP/IP.” (1-6) [SYM_P_0074979]</p>	<p>NetRanger ran in a LAN, WAN, or Internet network.</p> <p>[DoD: p. 2, 3, 5, 9, 10] [SYM_P_0074263, SYM_P_0074264, SYM_P_0074266, SYM_P_0074270, SYM_P_0074271]</p>
6	The method of claim 1, wherein the network monitors		<p>“The only type of packet filter devices the sensor subsystem currently works with are the BorderGuard and Passport devices from Network Systems Group (NSG). These packet filter devices play a key role in the success of</p>

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*203 Claim number	Claim Term	NetRanger User’s Guide Version 1.3.1 (printed publication)
		<p>are deployed at one or more of the following facilities of the enterprise network: {gateways, routers, proxy servers}.</p> <p>the NSX system. In addition to serving as high-speed IP data sources, all of these devices</p> <ul style="list-style-type: none"> • Can be reconfigured on the fly, • Support a common NetSentry interface, • Can be deployed as bridges as well as routers, and • Can maintain Virtual Private Network (VPN) connections. <p>Because these devices can be reconfigured on the fly, NetRanger can dynamically shun as well as detect suspicious and unauthorized network activity. The common command and control interface provided by NetSentry allows one NSX to support all three devices. Please note that these devices can operate as bridges as well as routers, which means that an NSX can be deployed in a network behind existing devices, such as Cisco routers, without having to change routing protocols or reassigned existing network addresses.” (1-6) [SYM_P_0074979]</p> <p>“Please note that when an NSX Sensor and Director are directly connected to the BorderGuard (or they use an out-of-band channel), no IP address is needed.” (2-3 – 2-4) [SYM_P_0074996- SYM_P_0074997]</p> <p>See Figure 2.7: The NSX Sensor Placed on its Own Isolated Network (2-10) [SYM_P_0075003]</p> <p>“WheelGroup recommends that you place the NSX in a secure location and physically close to the BorderGuard with which it will be operating.” (3-1) [SYM_P_0075009]</p>

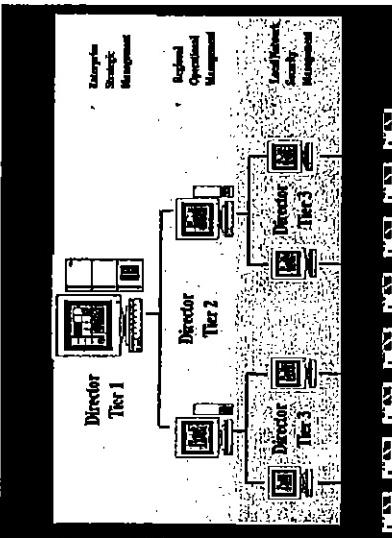
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Claim Number	Claim Term	NetRanger User’s Guide Version 1.3.1 (printed publication)	NetRanger (public use or sale) ¹
‘203		<p>“The Packet Filtering Device is a router or bridge that plugs into a corporation’s network at a point of entry to other networks. The security Policy installed on this device determines what subset of network traffic will be routed to the NetRanger Sensor.” (1-2) [SYM_P_0074975]</p>	<p>A NetRanger Director received and integrated alarms from a plurality of NetRanger NSXs.</p> 
7	<p>The method of claim 1, wherein deploying the network monitors includes placing a plurality of service monitors among multiple domains of the enterprise network.</p>	<p>“Distribution Hierarchies</p> <p>Another feature that complements alternate routing is the ability to build hierarchies of NSX and Director systems through the use of message propagation. Instead of broadcasting events from an NSX onto multiple hosts, information can be sent to a single host, which can then propagate packets onto other platforms defined in its local configuration files. Figure 1.6 illustrates this concept via a simple hierarchy of Director machines. In addition to providing performance benefits and fault tolerance, distribution hierarchies can simplify system management. For example, local Director machines might be responsible for monitoring from 9AM to 5PM and then transfer control onto a central Director every evening.” (1-13) [SYM_P_0074986]</p> <p>See Figure 1.6: Director Hierarchy Based on Message Propagation (1-13) [SYM_P_0074986]</p> <p>“The Director hierarchy includes the following levels, where NetRanger is the “root” and Events/Alarms are the “leaf” nodes of the tree:</p> <ul style="list-style-type: none"> • NetRanger <ul style="list-style-type: none"> ▪ Collections of Directors/NSXs <ul style="list-style-type: none"> • A Single Director or NSX System 	

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Claim number	Claim Term	NetRanger User’s Guide Version 1.31 (printed publication)
203		<p>NetRanger [DoD: pp. 4, 7; Appendix A, spreadsheet page 1 “Hierarchical Propagation of Alarms”] [SYM_P_0074265, SYM_P_0074268, SYM_P_0074292]</p> <p>[TS: “NetRanger Overview” “Communication Architecture” slide, “Distributed Network Security Management” slide] [SYM_P_0077357, SYM_P_0077364, SYM_P_0077367]</p> <ul style="list-style-type: none"> ○ Applications running on a Director or NSX System <ul style="list-style-type: none"> ■ Events/Alarms generated by an Application” (1-15) [SYM_P_0074988] <p>“NSX Collections are used to customize, or partition, the map. NSX Collections are good tools to use for grouping machines into logical units.” (4-14) [SYM_P_0075070]</p> <p>“NSX Collection entities can be used to customize, or partition, a map. If the number of NSX machines you are monitoring is too great to represent on a single subplot (for instance, the Top-Level NSX Collection subplot), you can create additional Collections, and then add Machine icons to those Collection submaps. This allows you to create a hierarchical grouping of machines.” (4-20) [SYM_P_0075070]</p> <p>“You can create customized maps for different users. Each user’s map can have a different subset of NSX Machines displayed. ... Usually, Machine symbols are deleted to create a “user domain” with a subset of the configured NSX Machines.” (4-41) [SYM_P_0075097]</p> <p>“Distribution Hierarchies Another feature that complements alternate routing is the ability to build hierarchies of NSX and Director systems through the use of message propagation. Instead of broadcasting events from an NSX onto multiple hosts, information can be sent to a single host, which can then propagate packets onto other platforms defined in its local configuration files. Figure</p>
8		<p>The method of claim 7, wherein receiving and integrating is performed by a domain monitor</p> <p>A NetRanger Director received and integrated alarms from a plurality of NetRanger NSXs.</p>

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‘203 Claim number	Claim Term	NetRanger User’s Guide Version 1.3.1 (printed publication) ¹
		<p>with respect to a plurality of service monitors within the domain monitor’s associated network domain.</p> <p>1.6 illustrates this concept via a simple hierarchy of Director machines. In addition to providing performance benefits and fault tolerance, distribution hierarchies can simplify system management. For example, local Director machines might be responsible for monitoring from 9AM to 5PM and then transfer control onto a central Director every evening.” (1-13) [SYM_P_0074986]</p> <p>See Figure 1.6: Director Hierarchy Based on Message Propagation ([1-13) [SYM_P_0074986]}</p> <p>“The Director hierarchy includes the following levels, where NetRanger is the “root” and Events/Alarms are the “leaf” nodes of the tree:</p> <ul style="list-style-type: none"> • NetRanger • Collections of Directors/NSXs <ul style="list-style-type: none"> • A Single Director or NSX System <ul style="list-style-type: none"> ◦ Applications running on a Director or NSX System <ul style="list-style-type: none"> ▪ Events/Alarms generated by an Application” (1-15) [SYM_P_0074988] <p>“NSX Collections are used to customize, or partition, the map. NSX Collections are good tools to use for grouping machines into logical units.” (4-14) [SYM_P_0075070]</p> <p>“NSX Collection entities can be used to customize, or partition, a map. If the number of NSX machines you are monitoring is too great to represent on</p>  <p>[DoD: pp. 4, 7; Appendix A, spreadsheet page 1 “Hierarchical Propagation of Alarms”] [SYM_P_0074265, SYM_P_0074268, SYM_P_0074292]</p> <p>[TS: “NetRanger Overview” “Communication Architecture” slide, “Distributed Network Security Management” slide] [SYM_P_0077357, SYM_P_0077364, SYM_P_0077367]</p>

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Claim number	Claim Term	NetRanger User’s Guide Version 1.3.1 (printed publication) ¹	NetRanger (public use or sale) ¹
'203		<p>a single submap (for instance, the Top-Level NSX Collection subplot), you can create additional Collections, and then add Machine icons to those Collection submaps. This allows you to create a hierarchical grouping of machines.” (4-20) [SYM_P_0075070]</p> <p>“You can create customized maps for different users. Each user’s map can have a different subset of NSX Machines displayed. . . . Usually, Machine symbols are deleted to create a “user domain” with a subset of the configured NSX Machines.” (4-41) [SYM_P_0075097]</p>	<p>A higher-tier NetRanger Director received and integrated alarms from a plurality of lower-tier NetRanger Directors.</p> <p>See ‘203 claim 8</p> <p>[DoD: pp. 4, 7, 19; Appendix A, spreadsheet page 1 “Hierarchical Propagation of Alarms”] [SYM_P_0074300, SYM_P_0074303, SYM_P_0074315, SYM_P_0074292]</p> <p>[TS: “NetRanger Overview” “Communication Architecture” slide, “Distributed Network Security Management” slide] [SYM_P_0077357, SYM_P_007364, SYM_P_0077367]</p>
9		<p>The method of claim 1, wherein deploying the network monitors includes deploying a plurality of domain monitors within the enterprise network, each domain monitor being associated with a corresponding domain of the enterprise network.</p>	<p>See ‘203 claim 8</p> <p>A higher-tier NetRanger Director received and integrated alarms from a plurality of lower-tier NetRanger Directors.</p>
10		The method of claim 9, wherein	See ‘203 claim 8

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'203 Claim number	Claim Term	NetRanger User's Guide Version 1.3.1 (printed publication) (public use or sale)¹
11	receiving and integrating is performed by an enterprise monitor with respect to a plurality of domain monitors within the enterprise network.	<p>See '203 claim 8</p> <p>[DoD: pp. 4, 7, 19; Appendix A, spreadsheet page 1 “Hierarchical Propagation of Alarms”] [SYM_P_0074309, SYM_P_0074303, SYM_P_0074315, SYM_P_0074292]</p> <p>[TS: “NetRanger Overview” “Communication Architecture” slide, “Distributed Network Security Management” slide] [SYM_P_0077357, SYM_P_0077364, SYM_P_0077367]</p> <p>A NetRanger Director communicated with another Director.</p> <p>“Distribution Hierarchies</p> <p>Another feature that complements alternate routing is the ability to build hierarchies of NSX and Director systems through the use of message propagation. Instead of broadcasting events from an NSX onto multiple hosts, information can be sent to a single host, which can then propagate packets onto other platforms defined in its local configuration files. Figure 1.6 illustrates this concept via a simple hierarchy of Director machines. In addition to providing performance benefits and fault tolerance, distribution hierarchies can simplify system management. For example, local Director machines might be responsible for monitoring from 9AM to 5PM and then transfer control onto a central Director every evening.” (1-13)</p> <p>[SYM_P_0074986]</p>
12	An enterprise network monitoring system comprising: a plurality of	<p>See '203 claim 1</p> <p>See '203 claim 1</p> <p>See '203 claim 1</p>

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Claim number	Claim Term	NetRanger User's Guide Version 1.3.1 (printed publication)	NetRanger (public use or sale) ¹
‘203	network monitors deployed within an enterprise network; said plurality of network monitors detecting suspicious network activity based on analysis of network traffic data selected from the following categories:	See ‘203 claim 1 See ‘203 claim 1 See ‘203 claim 1	See ‘203 claim 1 See ‘203 claim 1 See ‘203 claim 1

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'203 Claim number	Claim Term	NetRanger User's Guide Version 1.3.1 (printed publication)	NetRanger (public use or sale)¹
	reports of said suspicious activity; and	See '203 claim 1	See '203 claim 1
13	one or more hierarchical monitors in the enterprise network, the hierarchical monitors adapted to automatically receive and integrate the reports of suspicious activity.	The system of claim 12, wherein the integration comprises correlating intrusion reports reflecting underlying commonalities.	See '203 claim 2
14	The system of claim 12, wherein the integration further comprises invoking	See '203 claim 3	See '203 claim 3

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'203 Claim number	Claim Term countermeasures to a suspected attack.	NetRanger User's Guide Version 13.1 (printed publication) The system of claim 12, wherein the plurality of network monitors include an application programming interface (API) for encapsulation of monitor functions and integration of third-party tools.	NetRanger (public use or sale) ¹ See '203 claim 4 See '203 claim 5 See '203 claim 6 See '203 claim 6
15			See '203 claim 4
16			See '203 claim 5
17			See '203 claim 6

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'203 Claim number	Claim Term	NetRanger User's Guide Version 1.3.1 (printed publication) NetRanger (Public use or sale) ¹
18	{gateways, routers, proxy servers}.	See '203 claim 8 and '203 claim 9 See '203 claim 8 and '203 claim 9
19	The system of claim 12, wherein the plurality of network monitors includes a plurality of service monitors among multiple domains of the enterprise network.	See '203 claim 8 See '203 claim 8
20	The system of claim 18, wherein a domain monitor associated with the plurality of service monitors within the domain monitor's associated network domain is adapted to automatically receive and integrate the reports of suspicious activity.	See '203 and 9 See '203 and 9

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Claim number	Claim Term	NetRanger User's Guide Version 1.3.1 (printed publication)	NetRanger (Public use or sale)¹
203	12, wherein the plurality of network monitors include a plurality of domain monitors within the enterprise network, each domain monitor being associated with a corresponding domain of the enterprise network.		
21	The system of claim 20, wherein an enterprise monitor associated with a plurality of domain monitors is adapted to automatically receive and integrate the reports of suspicious activity.	See '203 claim 10	See '203 claim 10
22	The system of claim 20, wherein the plurality of domain	See '203 claim 11	See '203 claim 11

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'203 Claim number	Claim Term	NetRanger User's Guide Version 1.3.1 (printed publication)	NetRanger (Public use or sale) ¹
		monitors within the enterprise network interface as a plurality of peer-to-peer relationships with one another.	

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'615 Claim number	Claim Term	NetRanger User's Guide Version 1.3.1 (printed publication)	NetRanger (public use or sale)
1 A computer-automated method of hierarchical event monitoring and analysis within an enterprise network comprising: deploying a plurality of network monitors in the enterprise network; detecting, by the network monitors, suspicious network activity based on analysis of network traffic data selected from one or more of the following categories: {network packet data transfer commands, network packet data transfer errors, network packet data volume, network connection requests, network connection denials, error codes included in a network packet, network connection acknowledgements, and network packets indicative of well-known network-service protocols}; generating, by the monitors, reports of said suspicious activity; and	See '203 claim 1	See '203 claim 1 See '203 claim 1 See '203 claim 1 See '203 claim 1 See also: "New Content Signatures... Unknown IP Protocol" (ix) "Unknown IP Protocol – Alarms on any IP packet with a protocol number that RFC1700 declares reserved or unassigned." (4-61) [SYM_P_0075117]	See '203 claim 1 NetRanger detected misuse based on the content of network packers including at least: <ul style="list-style-type: none">• network packet data transfer commands• network packet data transfer errors• network packet data volume• network connection requests• network connection denials• error codes included in a network packet• network packets indicative of well-known service protocols

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'615 Claim number	Claim Term	NetRanger User's Guide Version 1.3.1 (printed publication)	NetRanger (public use or sale)
1	automatically receiving and integrating the reports of suspicious activity, by one or more hierarchical monitors.	See '203 claim 1	See '203 claim 1
2	The method of claim 1, wherein integrating comprises correlating intrusion reports reflecting underlying commonalities.	See '203 claim 2	See '203 claim 2
3	The method of claim 1, wherein integrating further comprises invoking countermeasures to a suspected attack.	See '203 claim 3	See '203 claim 3
4	The method of claim 1, wherein the plurality of network monitors include an API for encapsulation of monitor functions and integration of third-party tools.	See '203 claim 4	See '203 claim 4
5	The method of claim 1, wherein the enterprise network is a TCP/IP network.	See '203 claim 5	See '203 claim 5
6	The method of claim 1, wherein the network monitors are deployed at one or more of the following facilities of the enterprise network: {gateways, routers, proxy servers}.	“The only type of packet filter devices the sensor subsystem currently works with are the BorderGuard and Passport devices from Network Systems Group (NSG). These packet filter devices play a key role in the success of the NSX system. In addition to serving as high-speed IP data sources, all of these devices	NetRanger NSXs were deployed at routers or proxy servers. [TS: “NetRanger Overview” “NSX Configurations” slide “NetRanger Application” slide] [SYM_P_0077357, SYM_P_0077359, SYM_P_0077366] [DoD: pp. 2, 3] [SYM_P_0074263,

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Claim number	Claim Term	NetRanger User’s Guide Version 1.3.1 (printed publication)	NetRanger (public use or sale)
‘615		<p>Because these devices can be reconfigured on the fly, NetRanger can dynamically shun as well as detect suspicious and unauthorized network activity. The common command and control interface provided by NetSentry allows one NSX to support all three devices. Please note that these devices can operate as bridges as well as routers, which means that an NSX can be deployed in a network behind existing devices, such as Cisco routers, without having to change routing protocols or reassign existing network addresses.” (1-6) [SYM_P_0074979]</p> <p>“Please note that when an NSX Sensor and Director are directly connected to the BorderGuard (or they use an out-of-band channel), no IP address is needed.” (2-3 – 2-4) [SYM_P_0074996-SYM_P_0074997]</p> <p>See Figure 2.7: The NSX Sensor Placed on its Own Isolated Network (2-10) [SYM_P_0075003]</p> <p>“WheelGroup recommends that you place the NSX in a secure location and physically close to the BorderGuard with which it will be operating.” (3-1) [SYM_P_0075009]</p> <p>“The Packet Filtering Device is a router or bridge that plugs into a corporation’s network at a point of entry to other networks. The security policy installed on this device determines what subset of network traffic will be routed to the NetRanger Sensor.” (1-2) [SYM_P_0074975]</p>	SYM_P_0074264]

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'615 Claim number	Claim Term	NetRanger User's Guide Version 1.3.1 (printed publication)	NetRanger (public use or sale)
7	The method of claim 1, wherein at least one of said network monitors utilizes a statistical detection method.	103 [<i>Emerald 1997; Statistical Methods</i>] See '203 claim 8	103 [<i>Emerald 1997; Statistical Methods</i>] See '203 claim 8 and 9
8	The method of claim 1, wherein deploying the network monitors includes placing a plurality of service monitors among multiple domains of the enterprise network.	See '203 claim 8	See '203 claim 9
9	The method of claim 8, wherein receiving and integrating is performed by a domain monitor with respect to a plurality of service monitors within the domain monitor's associated network domain.	See '203 claim 9	See '203 claim 8
10	The method of claim 1, wherein deploying the network monitors includes deploying a plurality of domain monitors within the enterprise network, each domain monitor being associated with a corresponding domain of the enterprise network.	See '203 claim 9	See '203 claim 9
11	The method of claim 10, wherein receiving and integrating is performed by an enterprise monitor with respect to a plurality of domain monitors within the enterprise network.	See '203 claim 10	See '203 claim 10
12	The method of claim 10, wherein the	See '203 claim 11	See '203 claim 11

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'615 Claim number	Claim Term (printed publication)	NetRanger User's Guide Version 1.3.1 (printed publication)	NetRanger (Public use or sale)
13	<p>plurality of domain monitors within the enterprise network establish peer-to-peer relationships with one another.</p> <p>An enterprise network monitoring system comprising:</p> <ul style="list-style-type: none"> a plurality of network monitors deployed within an enterprise network, said plurality of network monitors detecting suspicious network activity based on analysis of network traffic data selected from one or more of the following categories: {network packet data transfer commands, network packet data transfer errors, network packet data volume, network connection requests, network connection denials, error codes included in a network packet, network connection acknowledgements, and network packets indicative of well-known network-service protocols}; <p>said network monitors generating reports of said suspicious activity; and</p>	<p>See '615 claim 1</p>	<p>See '615 claim 1</p>
			See '615 claim 1

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'615 Claim number	Claim Term	NetRanger User's Guide Version 1.3.1 (printed publication)	NetRanger (public use or sale)
	one or more hierarchical monitors in the enterprise network, the hierarchical monitors adapted to automatically receive and integrate the reports of suspicious activity.	See '615 claim 1	See '615 claim 1
14	The system of claim 13, wherein the integration comprises correlating intrusion reports reflecting underlying commonalities.	See '203 claim 2	See '203 claim 2
15	The system of claim 13, wherein the integration further comprises invoking countermeasures to a suspected attack.	See '203 claim 3	See '203 claim 3
16	The system of claim 13, wherein the plurality of network monitors include an application programming interface (API) for encapsulation of monitor functions and integration of third-party tools.	See '203 claim 4	See '203 claim 4
17	The system of claim 13, wherein the enterprise network is a TCP/IP network.	See '203 claim 5	See '203 claim 5
18	The system of claim 13, wherein the network monitors are deployed at one or more of the following facilities of the enterprise network: {gateways, routers, proxy servers}.	See '615 claim 6	See '615 claim 6
19	The system of claim 13, wherein the plurality of network monitors includes a	See '203 claim 8	See '203 claim 8 and 9

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'615 Claim number	Claim Term	NetRanger User's Guide Version 1.3.1 (printed publication)	NetRanger (Public use or sale)
	plurality of service monitors among multiple domains of the enterprise network.		
20	The system of claim 19, wherein a domain monitor associated with the plurality of service monitors within the domain monitor's associated network domain is adapted to automatically receive and integrate the reports of suspicious activity.	See '203 claim 8	See '203 claim 8
21	The system of claim 13, wherein the plurality of network monitors include a plurality of domain monitors within the enterprise network, each domain monitor being associated with a corresponding domain of the enterprise network.	See '203 claim 9	See '203 claim 9
22	The system of claim 21, wherein an enterprise monitor associated with a plurality of domain monitors is adapted to automatically receive and integrate the reports of suspicious activity.	See '203 claim 10	See '203 claim 10
23	The system of claim 21, wherein the plurality of domain monitors within the enterprise network interface as a plurality of peer-to-peer relationships with one another.	See '203 claim 11	See '203 claim 11

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'615 Claim number 34	Claim Term A computer-automated method of hierarchical event monitoring and analysis within an enterprise network comprising: deploying a plurality of network monitors in the enterprise network, wherein at least one of the network monitors is deployed at a gateway;	NetRanger User’s Guide Version 1.3.1 (printed publication)	See ‘615 claim 1 “The only type of packet filter devices the sensor subsystem currently works with are the BorderGuard and Passport devices from Network Systems Group (NSG). These packet filter devices play a key role in the success of the NSX system. In addition to serving as high-speed IP data sources, all of these devices <ul style="list-style-type: none">• Can be reconfigured on the fly,• Support a common NetSentry interface,• Can be deployed as bridges as well as routers, and• Can maintain Virtual Private Network (VPN) connections. Because these devices can be reconfigured on the fly, NetRanger can dynamically snoop as well as detect suspicious and unauthorized network activity. The common command and control interface provided by NetSentry allows one NSX to support all three devices. Please note that these devices can operate as bridges as well as routers, which means that an NSX can be deployed in a network behind existing devices, such as Cisco routers, without having to change routing protocols or reassign existing network addresses.” (1-6) [SYM_P_0074979]	NetRanger (public use or sale) See ‘615 claim 1 NetRanger NSXs were deployed at routers or proxy servers. [TS: “NetRanger Overview” “NSX Configurations” slide “NetRanger Application” slide] [SYM_P_0077357, SYM_P_0077359, SYM_P_0077366] [DoD: pp. 2, 3] [SYM_P_0074263, SYM_P_0074264]
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'615 Claim number	Claim Term NetRanger User’s Guide Version 1.3.1 (printed publication)	NetRanger (public use or sale)
	<p>See Figure 2.7: The NSX Sensor Placed on its Own Isolated Network (2-10) [SYM_P_0075003]</p> <p>“WheelGroup recommends that you place the NSX in a secure location and physically close to the BorderGuard with which it will be operating.” (3-1) [SYM_P_0075009]</p> <p>“The Packet Filtering Device is a router or bridge that plugs into a corporation’s network at a point of entry to other networks. The security policy installed on this device determines what subset of network traffic will be routed to the NetRanger Sensor.” (1-2) [SYM_P_0074975]</p>	
35	<p>detecting, by the network monitors, suspicious network activity based on analysis of network traffic data; generating, by the monitors, reports of said suspicious activity; and automatically receiving and integrating the reports of suspicious activity, by one or more hierarchical monitors.</p>	<p>See ‘615 claim 1</p> <p>See ‘615 claim 1</p> <p>See ‘615 claim 1</p>
36	<p>The method of claim 34, wherein said integrating comprises correlating intrusion reports reflecting underlying commonalities.</p>	<p>See ‘203 claim 2</p> <p>See ‘203 claim 3</p>
		<p>See ‘615 claim 1</p>
		<p>See ‘615 claim 1</p>
		<p>See ‘203 claim 3</p>

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'615 Claim number	Claim Term	NetRanger User's Guide Version 1.3.1 (printed publication)	NetRanger (Public use or sale)
37	countermeasures to a suspected attack.	See '203 claim 4	See '203 claim 4
38	The method of claim 34, wherein the plurality of network monitors include an API for encapsulation of monitor functions and integration of third-party tools.	See '615 claim 1	See '615 claim 1
39	The method of claim 34, wherein said network traffic data is selected from one or more of the following categories: {network packet data transfer commands, network packet data transfer errors, network packet data volume, network connection requests, network connection denials, error codes included in a network packet}.	See '203 claim 7	See '203 claim 7
40	The method of claim 34, wherein said deploying the network monitors includes placing a plurality of service monitors among multiple domains of the enterprise network.	See '203 claim 8	See '203 claim 8

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'615 Claim number	Claim Term	NetRanger User’s Guide Version 1.3.1 (printed publication)	NetRanger (public use or sale)
41	The method of claim 34, wherein said deploying the network monitors includes deploying a plurality of domain monitors within the enterprise network, each domain monitor being associated with a corresponding domain of the enterprise network.	See ‘203 claim 9	See ‘203 claim 9
42	The method of claim 41, wherein said receiving and integrating is performed by an enterprise monitor with respect to a plurality of domain monitors within the enterprise network.	See ‘203 claim 10	See ‘203 claim 10
43	The method of claim 41, wherein the plurality of domain monitors within the enterprise network establish peer-to-peer relationships with one another.	See ‘203 claim 11	See ‘203 claim 11
44	A computer-automated method of hierarchical event monitoring and analysis within an enterprise network comprising:	See ‘615 claim 1	See ‘615 claim 1
	deploying a plurality of network monitors in the enterprise network, wherein at least one of the network monitors is deployed at a router;	“The only type of packet filter devices the sensor subsystem currently works with are the BorderGuard and Passport devices from Network Systems Group (NSG). These packet filter devices play a key role in the success of the NSX system. In addition to serving as high-speed IP data sources, all of these devices	NetRanger NSXs were deployed at routers or proxy servers. [TS: “NetRanger Overview” “NSX Configurations” slide “NetRanger Application” slide] [SYM_P_0077357,
			• Can be reconfigured on the fly.

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Claim number	Claim Term	NetRanger User’s Guide Version 1.3.1 (printed publication)	NetRanger (public use or sale)
'615		<ul style="list-style-type: none"> • Support a common NetSentry interface, • Can be deployed as bridges as well as routers, and • Can maintain Virtual Private Network (VPN) connections. <p>Because these devices can be reconfigured on the fly, NetRanger can dynamically shun as well as detect suspicious and unauthorized network activity. The common command and control interface provided by NetSentry allows one NSX to support all three devices. Please note that these devices can operate as bridges as well as routers, which means that an NSX can be deployed in a network behind existing devices, such as Cisco routers, without having to change routing protocols or reassign existing network addresses.” (1-6) [SYM_P_0074979]</p> <p>“Please note that when an NSX Sensor and Director are directly connected to the BorderGuard (or they use an out-of-band channel), no IP address is needed.” (2-3 – 2-4) [SYM_P_0074996-SYM_P_0074997]</p> <p>See Figure 2.7: The NSX Sensor Placed on its Own Isolated Network (2-10) [SYM_P_0075033]</p> <p>“WheelGroup recommends that you place the NSX in a secure location and physically close to the BorderGuard with which it will be operating.” (3-1) [SYM_P_0075009]</p> <p>“The Packet Filtering Device is a router or bridge that plugs into a corporation’s network at a point of entry to other networks. The security</p>	<p>SYM_P_0077359, SYM_P_0077366] [DoD: pp. 2, 3] [SYM_P_0074263, SYM_P_0074264]</p>

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'615 Claim number	Claim Term	NetRanger User's Guide Version 1.3.1 (printed publication)	NetRanger (public use or sale)
45	detecting, by the network monitors, suspicious network activity based on analysis of the network traffic data; generating, by the monitors, reports of said suspicious activity; and automatically receiving and integrating the reports of suspicious activity, by one or more hierarchical monitors.	See '615 claim 1 See '615 claim 1 See '615 claim 1	See '615 claim 1
46	The method of claim 44, wherein said integrating comprises correlating intrusion reports reflecting underlying commonalities.	See '203 claim 2 See '203 claim 3	See '203 claim 2
47	The method of claim 44, wherein said integrating further comprises invoking countermeasures to a suspected attack.	See '203 claim 4	See '203 claim 3
48	The method of claim 44, wherein said network traffic data is selected from one or more of the following categories:	See '615 claim 1	See '615 claim 1

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'615 Claim number	Claim Term	NetRanger User's Guide Version 1.3.1 (printed publication)	NetRanger (public use or sale)
49	{network packet data transfer commands, network packet data transfer errors, network packet data volume, network connection requests, network connection denials, error codes included in a network packet}.		See '203 claim 7
50	The method of claim 44, wherein said deploying the network monitors includes placing a plurality of service monitors among multiple domains of the enterprise network.	See '203 claim 8	See '203 claim 8
51	The method of claim 49, wherein said receiving and integrating is performed by a domain monitor with respect to a plurality of service monitors within the domain monitor's associated network domain.	See '203 claim 9	See '203 claim 9
52	The method of claim 44, wherein said deploying the network monitors includes deploying a plurality of domain monitors within the enterprise network, each domain monitor being associated with a corresponding domain of the enterprise network.	See '203 claim 10	See '203 claim 10

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'615 Claim number	Claim Term	NetRanger User's Guide Version 1.3.1 (printed publication)	NetRanger (public use or sale)
53	an enterprise monitor with respect to a plurality of domain monitors within the enterprise network.	See '203 claim 11	See '203 claim 11
64	The method of claim 51, wherein the plurality of domain monitors within the enterprise network establish peer-to-peer relationships with one another.	See '615 claim 1	See '615 claim 1
	A computer-automated method of hierarchical event monitoring and analysis within an enterprise network comprising:	<p>“The only type of packet filter devices the sensor subsystem currently works with are the BorderGuard and Passport devices from Network Systems Group (NSG). These packet filter devices play a key role in the success of the NSX system. In addition to serving as high-speed IP data sources, all of these devices</p> <ul style="list-style-type: none"> • Can be reconfigured on the fly, • Support a common NetSentry interface, • Can be deployed as bridges as well as routers, and • Can maintain Virtual Private Network (VPN) connections. <p>Because these devices can be reconfigured on the fly, NetRanger can dynamically shun as well as detect suspicious and unauthorized network activity. The common command and control interface provided by NetSentry allows one NSX to support all three devices. Please note that these devices can operate as bridges as well as routers, which means that an NSX can be deployed in a network behind existing devices, such as</p>	<p>NetRanger NSXs were deployed at routers or proxy servers.</p> <p>[TS: “NetRanger Overview” “NSX Configurations” slide “NetRanger Application” slide] [SYM_P_0077357, SYM_P_0077359, SYM_P_0077366]</p> <p>[DoD: pp. 2, 3] [SYM_P_0074263, SYM_P_0074264]</p>

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'615 Claim number	Claim Term	NetRanger User's Guide Version 1.3.1 (printed publication)	NetRanger (public use or sale)
		<p>Cisco routers, without having to change routing protocols or reassign existing network addresses.” (1-6) [SYM_P_0074979]</p> <p>“Please note that when an NSX Sensor and Director are directly connected to the BorderGuard (or they use an out-of-band channel), no IP address is needed.” (2-3 – 2-4) [SYM_P_0074996-SYM_P_0074997]</p> <p>See Figure 2.7: The NSX Sensor Placed on its Own Isolated Network (2-10) [SYM_P_0075003]</p> <p>“WheelGroup recommends that you place the NSX in a secure location and physically close to the BorderGuard with which it will be operating.” (3-1) [SYM_P_0075009]</p> <p>“In cases where there is no need for address translation or other proxy services, NetRanger can replace firewalls. If there is no need for address translation or other proxy services, NetRanger works best without a firewall and has less impact on network performance.” (2-3) [SYM_P_0074996]</p> <p>“The Packet Filtering Device is a router or bridge that plugs into a corporation’s network at a point of entry to other networks. The security policy installed on this device determines what subset of network traffic will be routed to the NetRanger Sensor.” (1-2) [SYM_P_0074975]</p> <p>See ‘615 claim 1</p> <p>See ‘615 claim 1</p>	<p>See ‘615 claim 1</p>

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'615 Claim number	Claim Term	NetRanger User's Guide Version 1.3.1 (printed publication)	NetRanger (public use or sale)
	suspicious network activity based on analysis of network traffic data;	See '615 claim 1	See '615 claim 1
generating, by the monitors, reports of said suspicious activity; and automatically receiving and integrating the reports of suspicious activity, by one or more hierarchical monitors.	See '615 claim 1	See '615 claim 1	See '615 claim 1
65	The method of claim 64, wherein said integrating comprises correlating intrusion reports reflecting underlying commonalities.	See '203 claim 2	See '203 claim 2
66	The method of claim 64, wherein said integrating further comprises invoking countermeasures to a suspected attack.	See '203 claim 3	See '203 claim 3
67	The method of claim 64, wherein the plurality of network monitors include an API for encapsulation of monitor functions and integration of third-party tools.	See '203 claim 4	See '203 claim 4
68	The method of claim 64, wherein said network traffic data is selected from one or more of the following categories: {network packet data transfer commands, network packet data transfer errors, network packet data volume, network connection requests, network connection	See '615 claim 1	See '615 claim 1

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'615 Claim number:	Claim Term	NetRanger User's Guide Version 1.3.1 (printed publication)	NetRanger (public use or sale)
69	denials, error codes included in a network packet].	See '203 claim 7	See '203 claim 7
70	The method of claim 64, wherein said deploying the network monitors includes placing a plurality of service monitors among multiple domains of the enterprise network.	See '203 claim 8	See '203 claim 8
71	The method of claim 69, wherein said receiving and integrating is performed by a domain monitor with respect to a plurality of service monitors within the domain monitor's associated network domain.	See '203 claim 9	See '203 claim 9
72	The method of claim 64, wherein said deploying the network monitors includes deploying a plurality of domain monitors within the enterprise network, each domain monitor being associated with a corresponding domain of the enterprise network.	See '203 claim 10	See '203 claim 10
73	The method of claim 71, wherein the receiving and integrating is performed by an enterprise monitor with respect to a plurality of domain monitors within the enterprise network.	See '203 claim 11	See '203 claim 11

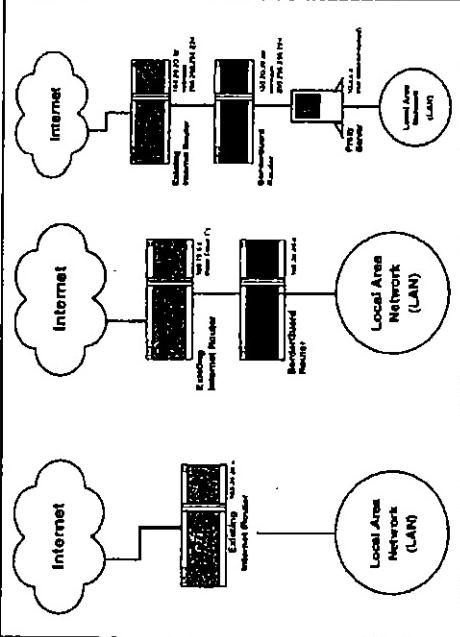
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'615 Claim number	Claim Term	NetRanger User's Guide Version 1.3.1 (printed publication)	NetRanger (public use or sale)
84	plurality of domain monitors within the enterprise network establish peer-to-peer relationships with one another.	See '615 claim 1	See '615 claim 1
	An enterprise network monitoring system comprising: a plurality of network monitors deployed within an enterprise network, wherein at least one of the network monitors is deployed at one or more of the following facilities of the enterprise network: {gateways, routers, proxy servers, firewalls}, said plurality of network monitors detecting suspicious network activity based on analysis of network traffic data,	<p>“The only type of packet filter devices the sensor subsystem currently works with are the BorderGuard and Passport devices from Network Systems Group (NSG). These packet filter devices play a key role in the success of the NSX system. In addition to serving as high-speed IP data sources, all of these devices</p> <ul style="list-style-type: none"> • Can be reconfigured on the fly, • Support a common NetSentry interface, • Can be deployed as bridges as well as routers, and • Can maintain Virtual Private Network (VPN) connections. <p>Because these devices can be reconfigured on the fly, NetRanger can dynamically shut as well as detect suspicious and unauthorized network activity. The common command and control interface provided by NetSentry allows one NSX to support all three devices. Please note that these devices can operate as bridges as well as routers, which means that an NSX can be deployed in a network behind existing devices, such as Cisco routers, without having to change routing protocols or reassign existing network addresses.” (1-6) [SYM_P_0074979]</p>	<p>“Please note that when an NSX Sensor and Director are directly connected to the BorderGuard (or they use an out-of-band channel), no IP address is needed.” (2-3 – 2-4) [SYM_P_0074996-</p>

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Claim number	Claim Term	NetRanger User’s Guide Version 1.3.1 (printed publication)	NetRanger (public use or sale)
'615		<p>SYM_P_0074997]</p> <p>See Figure 2.7: The NSX Sensor Placed on its Own Isolated Network (2-10) [SYM_P_0075003]</p> <p>“WheelGroup recommends that you place the NSX in a secure location and physically close to the BorderGuard with which it will be operating.” (3-1) [SYM_P_0075009]</p> <p>“The second option also requires that subnetting the class C address assigned to the BorderGuard router and the proxy server. While both of these options are fairly difficult, obtaining a new address is the least problematic of the two. These options are diagrammed in Figure 2.6.” [SYM_P_0075002]</p>	

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<p>‘615 Claim number</p>	<p>NetRanger User’s Guide Version 1.3.1 (printed publication)</p>	<p>NetRanger (public use or sale)</p>
		<p>Figure 2.6: BorderGuard Router Placed Behind the Existing Internet Router</p>  <pre> graph LR Internet((Internet)) --- Existing[Existing Internet Router] Existing --- BG[BorderGuard Router] BG --- LAN((Local Area Network (LAN))) </pre> <p>The diagram illustrates a network architecture. On the left, a cloud icon labeled "Internet" is connected to a rectangular box labeled "Existing Internet Router". This router has a second connection point labeled "BorderGuard Router" on its right side. A third connection point on the "BorderGuard Router" leads to a circular area labeled "Local Area Network (LAN)".</p> <p>Figure 2.6: BorderGuard Router Placed Behind the Existing Internet Router</p> <p>(2-9) [SYM_P_0075002]</p> <p>“The Packet Filtering Device is a router or bridge that plugs into a corporation’s network at a point of entry to other networks. The security policy installed on this device determines what subset of network traffic will be routed to the NetRanger Sensor.” (1-2) [SYM_P_0074975]</p>

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'615 Claim number	Claim Term	NetRanger User's Guide Version 1.3.1 (Printed publication)	NetRanger (public use or sale)
85	said network monitors generating reports of said suspicious activity; and one or more hierarchical monitors in the enterprise network, the hierarchical monitors adapted to automatically receive and integrate the reports of suspicious activity.	See '615 claim 1 See '615 claim 1	See '615 claim 1 See '615 claim 1
86	The system of claim 84, wherein the integration comprises correlating intrusion reports reflecting underlying commonalities.	See '203 claim 2	See '203 claim 2
87	The system of claim 84, wherein the integration further comprises invoking countermeasures to a suspected attack.	See '203 claim 3 See '203 claim 4	See '203 claim 3 See '203 claim 4
88	The system of claim 84, wherein said network traffic data is selected from one or more of the following categories: {network packet data transfer commands, network packet data transfer errors, network packet data volume, network	See '615 claim 1	See '615 claim 1

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'615 Claim number	Claim Term	NetRanger User's Guide Version 1.3.1 (printed publication)	NetRanger (public use or sale)
89	connection requests, network connection denials, error codes included in a network packet}.	See '203 claim 7	See '203 claim 7
90	The system of claim 84, wherein the plurality of network monitors includes a plurality of service monitors among multiple domains of the enterprise network.	See '203 claim 8	See '203 claim 8
91	The system of claim 89, wherein a domain monitor associated with the plurality of service monitors within the domain monitor's associated network domain is adapted to automatically receive and integrate the reports of suspicious activity.	See '203 claim 9	See '203 claim 9
92	The system of claim 91, wherein an enterprise monitor associated with a plurality of domain monitors is adapted to automatically receive and integrate the reports of suspicious activity.	See '203 claim 10	See '203 claim 10

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‘615 Claim number	Claim Term	NetRanger User’s Guide Version 1.3.1 (printed publication)	NetRanger (public use or sale)
93	The system of claim 91, wherein the plurality of domain monitors within the enterprise network interface as a plurality of peer-to-peer relationships with one another.	See ‘203 claim 11	See ‘203 claim 11

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Claim number	Claim Term	NetRanger User’s Guide Version 1.3.1 (printed publication)	NetRanger (public use or sale)
1	Method for monitoring an enterprise network, said method comprising the steps of:	See ‘203 claim 1 deploying a plurality of network monitors in the enterprise network; detecting, by the network monitors, suspicious network activity based on analysis of network traffic data, wherein at least one of the network monitors utilizes a statistical detection method;	See ‘203 claim 1
2	The method of claim 1,	See ‘203 claim 1 generating, by the monitors, reports of said suspicious activity; and automatically receiving and integrating the reports of suspicious activity, by one or more hierarchical monitors.	See ‘203 claim 1

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'212 Claim number	Claim Term wherein at least one of the network monitors utilizes a signature matching detection method.	NetRanger User's Guide Version 1.3.1 (printed publication)	NetRanger (public use or sale)
3	The method of claim 2, wherein the monitor utilizing a signature matching detection method also utilizes a statistical detection method.	See '212 claims 1 and 2	See '212 claims 1 and 2
4	The method of claim 1, wherein integrating comprises correlating intrusion reports reflecting underlying commonalities.	See '203 claim 2	See '203 claim 2
5	The method of claim 1, wherein integrating further comprises invoking countermeasures to a suspected attack.	See '203 claim 3	See '203 claim 3
6	The method of claim 1, wherein the plurality of network monitors includes an API for encapsulation of monitor functions and integration of third-party	See '203 claim 4	See '203 claim 4

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212 Claim number	Claim Term	NetRanger User’s Guide Version 1.3.1 (Printed publication)	NetRanger (Public use or sale)
7	The method of claim 1, wherein the enterprise network is a TCP/IP network.	See ‘203 claim 5	See ‘203 claim 5
8	The method of claim 1, wherein the network monitors are deployed at one or more of the following facilities of the enterprise network: (gateways, routers, proxy servers).	See ‘203 claim 6	See ‘203 claim 6
9	The method of claim 1, wherein deploying the network monitors includes placing a plurality of service monitors among multiple domains of the enterprise network.	See ‘203 claim 7	See ‘203 claim 7
10	The method of claim 9, wherein receiving and integrating is performed by a domain monitor with respect to a plurality of service monitors within the domain monitor’s associated	See ‘203 claim 8	See ‘203 claim 8

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'212 Claim number	Claim Term network domain.	NetRanger User's Guide Version 1.3.1 (printed publication)	NetRanger (public use or sale)
11	The method of claim 1, wherein deploying the network monitors includes deploying a plurality of domain monitors within the enterprise network, each domain monitor being associated with a corresponding domain of the enterprise network.	See '203 claim 9	See '203 claim 9
12	The method of claim 11, wherein receiving and integrating is performed by an enterprise monitor with respect to a plurality of domain monitors within the enterprise network.	See '203 claim 10	See '203 claim 10
13	The method of claim 11, wherein the plurality of the domain monitors within the enterprise network establish peer-to-peer relationships with one another.	See '203 claim 11	See '203 claim 11
14	An enterprise network monitoring system.	See '212 claim 1	See '212 claim 1

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'212 Claim number	Claim Term	NetRanger User's Guide Version 1.3.1 (Printed publication)	NetRanger (Public use or sale)
	comprising:	See '212 claim 1	See '212 claim 1
	a plurality of network monitors deployed within an enterprise network;	See '212 claim 1	See '212 claim 1
	said plurality of network monitors detecting suspicious network activity based on analysis of network traffic data,	See '212 claim 1	See '212 claim 1
	wherein at least one of the network monitors utilizes a statistical detection method;	See '212 claim 1	See '212 claim 1
	said network monitors generating reports of said suspicious activity; and	See '212 claim 1	See '212 claim 1
	one or more hierarchical monitors in the enterprise network, the hierarchical monitors adapted to automatically receive and integrate the reports of suspicious activity.	See '212 claim 1	See '212 claim 1
15	The system of claim 14, wherein the integration comprises correlating intrusion reports reflecting	See '203 claim 2	See '203 claim 2

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Claim number	Claim Term	NetRanger User’s Guide Version 1.3.1 (printed publication)	NetRanger (public use or sale)
16	underlying commonalities.	See ‘203 claim 3	See ‘203 claim 3
17	The system of claim 14, wherein the integration further comprises invoking countermeasures to a suspected attack.	See ‘203 claim 4	See ‘203 claim 4
18	The system of claim 14, wherein the plurality of network monitors include an application programming interface (API) for encapsulation of monitor functions and integration of third-party tools.	See ‘203 claim 5	See ‘203 claim 5
19	The system of claim 14, wherein the enterprise network is a TCP/IP network.	See ‘206 claim 6	See ‘206 claim 6
20	The system of claim 14,	See ‘203 claim 7	See ‘203 claim 7

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'212 Claim number	Claim Term	NetRanger User's Guide Version 1.3.1 (printed publication)	NetRanger (public use or sale)
		wherein the plurality of network monitors includes a plurality of service monitors among multiple domains of the enterprise network.	
21	The system of claim 20, wherein a domain monitor associated with the plurality of service monitors within the domain monitor's associated network domain is adapted to automatically receive and integrate the reports of suspicious activity.	See '203 claim 8	See '203 claim 9
22	The system of claim 14, wherein the plurality of network monitors include a plurality of domain monitors within the enterprise network, each domain monitor being associated with a corresponding domain of the enterprise network.	See '203 claim 9	See '203 claim 10
23	The system of claim 22,	See '203 claim 10	See '203 claim 10

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‘212 Claim number	Claim Term	NetRanger User’s Guide Version 1.3.1 (Printed publication)	NetRanger (Public use or sale)
	wherein an enterprise monitor associated with a plurality of domain monitors is adapted to automatically receive and integrate the reports of suspicious activity.		
24	The system of claim 22, wherein the plurality of domain monitors within the enterprise network interface as a plurality of peer-to-peer relationships with one another.	See ‘203 claim 11	See ‘203 claim 11